

Procurement Report for New York State Thoroughbred Breeding Development Fund

Run Date: 04/21/2014

Fiscal Year Ending: 12/31/2013

Status: CERTIFIED

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nybreds.com/wp-content/uploads/Fund-Procurement-Contract-Guidelines.pdf
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	Yes	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	Yes	Attachment Included
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	Yes	Attachment Included
12. Is the Authority subject to Article 15-A of the Executive Law?	Yes	
13. Has the Authority designated a person or persons to oversee its MWBE program?	Yes	
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	Yes	
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	Yes	

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Question	Response	URL (if applicable)
16. Did the Authority establish a goal for participation by MWBEs in its procurement contracts?	Yes	
a. If yes, what was the goal (percentage of total procurements) for the reporting period?	20%	
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?	57%	

Procurement Transactions Listing:

1. Vendor Name:	Accountemps	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Temporary Financial Staffing	Award Date:	05/01/2012
		Begin Date:	05/07/2012
		Renewal Date:	
Amount Expended for Fiscal Year:	\$74,318	End Date:	
Amount Expended for Life to Date:	\$126,219	Amount:	\$74,318
Does the contract have an end Date:	No	Fair Market value:	\$74,318
Current or Outstanding Balance:	\$3,258	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?		Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	Yes	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:	Temporary services for multiple people not one contract. Expected to fill positions not done due to NYS Ga		
Address Line1:	18 Corporate Woods Blvd.		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12211		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

2.	Vendor Name:	B&S Office Supply, Inc.	Type of Procurement:	Commodities/Supplies
	Transaction Number:		Award Process:	Non Contract Procurement/Purchase Order
	Procurement Description:	Office supplies	Award Date:	
			Begin Date:	
			Renewal Date:	
	Amount Expended for Fiscal Year:	\$11,938	End Date:	
	Amount Expended for Life to Date:		Amount:	
	Does the contract have an end Date:		Fair Market value:	
	Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
	Number Of Bids Or Proposals Received Prior to Award of Contract:		Status:	COMPLETED
	Is the Vendor a NYS or Foreign Business Enterprise?		were MWBE firms solicited as part of this procurement proces	
	Is the Vendor a Minority or Woman-Owned Business Enterprise?	No		
	Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
	If Yes, basis for exemption:			
	Address Line1:	1 Penn Plaza, Suite 6239		
	Address Line2:			
	City:	NEW YORK		
	State:	NY		
	Postal Code:	10119		
	Plus 4:			
	Province Region:			
	Country:	USA		

Procurement Transactions Listing:

3.	Vendor Name:	Bill Heller	Type of Procurement:	Other Professional Services
	Transaction Number:		Award Process:	Non Contract Procurement/Purchase Order
	Procurement Description:	Writer of thoroughbred racing articles	Award Date:	
			Begin Date:	
			Renewal Date:	
	Amount Expended for Fiscal Year:	\$16,150	End Date:	
	Amount Expended for Life to Date:		Amount:	
	Does the contract have an end Date:		Fair Market value:	
	Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
	Number Of Bids Or Proposals Received Prior to Award of Contract:			
	Is the Vendor a NYS or Foreign Business Enterprise?		Status:	OPEN
	Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	
	Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
	If Yes, basis for exemption:			
	Address Line1:	27 Mariette Place		
	Address Line2:			
	City:	ALBANY		
	State:	NY		
	Postal Code:	12209		
	Plus 4:			
	Province Region:			
	Country:	USA		

Procurement Transactions Listing:

4.	Vendor Name:	Bryan Cave, LLP	Type of Procurement:	Legal Services
	Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
	Procurement Description:	Legal services	Award Date:	01/01/2013
			Begin Date:	01/01/2013
			Renewal Date:	
	Amount Expended for Fiscal Year:	\$51,230	End Date:	12/31/2013
	Amount Expended for Life to Date:	\$307,700	Amount:	\$51,230
	Does the contract have an end Date:	Yes	Fair Market value:	\$51,230
	Current or Outstanding Balance:	\$4,167	Explain why the fair market value is less than the contract amount:	
	Number Of Bids Or Proposals Received Prior to Award of Contract:			
	Is the Vendor a NYS or Foreign Business Enterprise?		Status:	OPEN
	Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
	Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
	If Yes, basis for exemption:			
	Address Line1:	1290 Ave. of the Americas		
	Address Line2:	33rd floor		
	City:	NEW YORK		
	State:	NY		
	Postal Code:	10104		
	Plus 4:			
	Province Region:			
	Country:	USA		

Procurement Transactions Listing:

5.	Vendor Name:	Capstone Enterprises, Inc.	Type of Procurement:	Other
	Transaction Number:		Award Process:	Non Contract Procurement/Purchase Order
	Procurement Description:	Promotional advertisements in industry publications	Award Date:	
			Begin Date:	
			Renewal Date:	
	Amount Expended for Fiscal Year:	\$89,450	End Date:	
	Amount Expended for Life to Date:		Amount:	
	Does the contract have an end Date:		Fair Market value:	
	Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
	Number Of Bids Or Proposals Received Prior to Award of Contract:			
	Is the Vendor a NYS or Foreign Business Enterprise?		Status:	OPEN
	Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	
	Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
	If Yes, basis for exemption:			
	Address Line1:	3170 N W 100th Street		
	Address Line2:			
	City:	OCALA		
	State:	FL		
	Postal Code:	34475		
	Plus 4:			
	Province Region:			
	Country:	USA		

Procurement Transactions Listing:

6.	Vendor Name:	Daily Racing Form LLC	Type of Procurement:	Commodities/Supplies
	Transaction Number:		Award Process:	Non Contract Procurement/Purchase Order
	Procurement Description:	Advertising	Award Date:	
			Begin Date:	
			Renewal Date:	
	Amount Expended for Fiscal Year:	\$49,750	End Date:	
	Amount Expended for Life to Date:		Amount:	
	Does the contract have an end Date:		Fair Market value:	
	Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
	Number Of Bids Or Proposals Received Prior to Award of Contract:			
	Is the Vendor a NYS or Foreign Business Enterprise?		Status:	OPEN
	Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	
	Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
	If Yes, basis for exemption:			
	Address Line1:	PO Box 644901		
	Address Line2:			
	City:	PITTSBURGH		
	State:	PA		
	Postal Code:	15264		
	Plus 4:			
	Province Region:			
	Country:	USA		

Procurement Transactions Listing:

7.	Vendor Name:	Frutschy Consulting	Type of Procurement:	Financial Services
	Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
	Procurement Description:	Fianancial Consulting Services Temporary staffing	Award Date:	01/02/2012
			Begin Date:	01/09/2012
			Renewal Date:	
	Amount Expended for Fiscal Year:	\$73,107	End Date:	
	Amount Expended for Life to Date:	\$138,119	Amount:	\$73,107
	Does the contract have an end Date:	No	Fair Market value:	\$73,107
	Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
	Number Of Bids Or Proposals Received Prior to Award of Contract:			
	Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
	Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	No
	Exemption from the publication requirements of Article 4c of the economic-development law?	Yes	Number of bids and proposal received from MWBE firms:	
	If Yes, basis for exemption:	Temporary staffing filling the position is pending due to NYS Gaming Commission reorganization.		
	Address Line1:	281 Lake Rd.		
	Address Line2:			
	City:	BALLSTON LAKE		
	State:	NY		
	Postal Code:	12019		
	Plus 4:			
	Province Region:			
	Country:	USA		

Procurement Transactions Listing:

<p>8. Vendor Name: Horse Racing Radio Network</p> <p>Transaction Number:</p> <p>Procurement Description: Advertising</p> <p>Amount Expended for Fiscal Year: \$7,500</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise?</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 365 Colony Drive</p> <p>Address Line2:</p> <p>City: VERSAILLES</p> <p>State: KY</p> <p>Postal Code: 40383</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Commodities/Supplies</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

9.	Vendor Name:	Jockey Club Information Systems	Type of Procurement:	Technology - Consulting/Development or Su
	Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
	Procurement Description:	Racing data and proprietary software support	Award Date:	01/01/2008
			Begin Date:	01/01/2008
			Renewal Date:	
	Amount Expended for Fiscal Year:	\$25,777	End Date:	
	Amount Expended for Life to Date:	\$114,325	Amount:	\$25,777
	Does the contract have an end Date:	No	Fair Market value:	\$25,777
	Current or Outstanding Balance:	\$1,550	Explain why the fair market value is less than the contract amount:	
	Number Of Bids Or Proposals Received Prior to Award of Contract:			
	Is the Vendor a NYS or Foreign Business Enterprise?		Status:	OPEN
	Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
	Exemption from the publication requirements of Article 4c of the economic-development law?	Yes	Number of bids and proposal received from MWBE firms:	
	If Yes, basis for exemption:	Under \$50000		
	Address Line1:	821 Corporate Drive		
	Address Line2:			
	City:	LEXINGTON		
	State:	KY		
	Postal Code:	40503		
	Plus 4:	2794		
	Province Region:			
	Country:	USA		

Procurement Transactions Listing:

10. Vendor Name:	Knorpp Insurance	Type of Procurement:	Commodities/Supplies
Transaction Number:		Award Process:	Non Contract Procurement/Purchase Order
Procurement Description:	Insurance	Award Date:	
		Begin Date:	
		Renewal Date:	
Amount Expended for Fiscal Year:	\$10,000	End Date:	
Amount Expended for Life to Date:		Amount:	
Does the contract have an end Date:		Fair Market value:	
Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:		Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?		were MWBE firms solicited as part of this procurement proces	
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No		
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	PO Drawer A		
Address Line2:			
City:	CLARENDON		
State:	TX		
Postal Code:	79226		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

11. Vendor Name:	Mannix Marketing	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Non Contract Procurement/Purchase Order
Procurement Description:	Web services	Award Date:	
		Begin Date:	
		Renewal Date:	
Amount Expended for Fiscal Year:	\$5,495	End Date:	
Amount Expended for Life to Date:		Amount:	
Does the contract have an end Date:		Fair Market value:	
Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:		Status:	OPEN
Is the Vendor a NYS or Foreign Business Enterprise?		were MWBE firms solicited as part of this procurement proces	
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes		
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	11 Broad Street, 3rd Floor		
Address Line2:			
City:	GLENS FALLS		
State:	NY		
Postal Code:	12801		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

12. Vendor Name:	Media Vista/Thoroughbred Daily News	Type of Procurement:	Other
Transaction Number:		Award Process:	Non Contract Procurement/Purchase Order
Procurement Description:	Advertising in Industry Publications	Award Date:	
		Begin Date:	
		Renewal Date:	
Amount Expended for Fiscal Year:	\$15,000	End Date:	
Amount Expended for Life to Date:		Amount:	
Does the contract have an end Date:		Fair Market value:	
Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:		Status:	OPEN
Is the Vendor a NYS or Foreign Business Enterprise?		were MWBE firms solicited as part of this procurement proces	
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No		
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	60 Broad Street, Suite 100		
Address Line2:			
City:	RED BANK		
State:	NJ		
Postal Code:	07701		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

13. Vendor Name:	New York Thoroughbred Breeders Inc.	Type of Procurement:	Other
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Contracted advertising and promotion of thoroughbred racing with the thoroughbred breeders industry association, plus special event fees	Award Date:	01/01/2013
		Begin Date:	01/01/2013
		Renewal Date:	
Amount Expended for Fiscal Year:	\$215,630	End Date:	12/31/2013
Amount Expended for Life to Date:	\$1,127,590	Amount:	\$215,000
Does the contract have an end Date:	Yes	Fair Market value:	\$215,000
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:		Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?		were MWBE firms solicited as part of this procurement proces	No
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No		
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	57 Phila Street, 2nd Floor		
Address Line2:			
City:	SARATOGA SPRINGS		
State:	NY		
Postal Code:	12866		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

14. Vendor Name:	Tech II	Type of Procurement:	Technology - Consulting/Development or Su
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	IT and Phone system maintenance services Multiple small contracts and PO purchases	Award Date:	01/01/2013
		Begin Date:	01/01/2013
		Renewal Date:	
Amount Expended for Fiscal Year:	\$5,410	End Date:	12/31/2013
Amount Expended for Life to Date:	\$17,767	Amount:	\$5,410
Does the contract have an end Date:	Yes	Fair Market value:	\$5,410
Current or Outstanding Balance:	\$416	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	Yes	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:	All contracts under \$50,000		
Address Line1:	4289 Route 50		
Address Line2:			
City:	SARATOGA SPRINGS		
State:	NY		
Postal Code:	12866		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

15. Vendor Name:	The Hartford	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Business liability insurance (required by office rental agreement) and commercial auto insurance	Award Date:	02/01/2013
		Begin Date:	02/01/2013
		Renewal Date:	02/01/2014
Amount Expended for Fiscal Year:	\$8,464	End Date:	
Amount Expended for Life to Date:	\$36,344	Amount:	\$8,464
Does the contract have an end Date:	No	Fair Market value:	\$8,464
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?		Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	Yes	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:	Under \$50000		
Address Line1:	P.O. Box 660916		
Address Line2:			
City:	DALLAS		
State:	TX		
Postal Code:	75266		
Plus 4:	0916		
Province Region:			
Country:	USA		

Procurement Transactions Listing:

16. Vendor Name:	Toski & Co., CPAs, P.C.	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Annual audit	Award Date:	11/30/2012
		Begin Date:	01/01/2013
		Renewal Date:	
Amount Expended for Fiscal Year:	\$12,300	End Date:	12/31/2013
Amount Expended for Life to Date:	\$66,400	Amount:	\$12,300
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	5		
Is the Vendor a NYS or Foreign Business Enterprise?		Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	Yes	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:	Under \$50,000		
Address Line1:	300 Essjay Road, Suite 115		
Address Line2:			
City:	WILLIAMSVILLE		
State:	NY		
Postal Code:	14221		
Plus 4:			
Province Region:			
Country:	USA		

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Additional Comments: