

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.dutchessny.gov/CountyGov/Departments/DTASC/DTindex.htm
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

Procurement Transactions Listing:

1. Vendor Name: Drescher & Malecki

2. Vendor Name: Drescher & Malecki

Type of Procurement: Financial Services
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 01/01/2014
 End Date: 12/31/2014
 Amount: \$9,500
 Amount Expended for Fiscal Year: \$9,500
 Fair market value: \$9,500

Type of Procurement: Financial Services
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 01/04/2013
 End Date: 01/31/2013
 Amount: \$9,500
 Amount Expended for Fiscal Year: \$9,500
 Fair market value: \$9,500

Explain why the fair market value is less than the amount:

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Address Line1: 3083 William Street
 Address Line2: Suite 5
 City: CHEEKTOWAGA
 State: NY
 Postal Code: 14227
 Plus 4:
 Province Region:
 Country: USA

Address Line1: 3083 Willam Street
 Address Line2: Suite 5
 City: CHEEKTOWAGA
 State: NY
 Postal Code: 14227
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Audit services

Procurement Description: Audit of the 2012 Financials

Procurement Transactions Listing:

3. Vendor Name: Hiscock & Barclay

Type of Procurement: Legal Services
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 12/04/2003
 End Date:
 Amount: \$18,309.44
 Amount Expended for Fiscal Year: \$18,309.44
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 80 Beaver Street
 Address Line2:
 City: ALBANY
 State: NY
 Postal Code: 12207
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Legal Counsel to DTASC

4. Vendor Name: Hiscock & Barclay

Type of Procurement: Legal Services
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 12/04/2003
 End Date:
 Amount: \$4,025
 Amount Expended for Fiscal Year: \$4,025
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 80 Beaver Street
 Address Line2:
 City: ALBANY
 State: NY
 Postal Code: 12207
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Legal Counsel to DTASC

Procurement Transactions Listing:

5. Vendor Name: Marshall & Sterling INC

6. Vendor Name: Marshall & Sterling Inc

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 11/26/2012
 End Date: 11/23/2013
 Amount: \$10,402
 Amount Expended for Fiscal Year: \$9,500
 Fair market value: \$10,402

Explain why the fair market value is less than the amount:

Address Line1: 103 Executive Drive
 Address Line2: Suite 300
 City: NEW WINDSOR
 State: NY
 Postal Code: 12553
 Plus 4: 5531
 Province Region:
 Country: USA
 Procurement Description: Directors and Officers Liability Insurance

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 11/24/2013
 End Date: 11/23/2014
 Amount: \$11,235
 Amount Expended for Fiscal Year: \$11,235
 Fair market value: \$11,235

Explain why the fair market value is less than the amount:

Address Line1: 103 Executive Drive
 Address Line2: Suite 300
 City: NEW WINDSOR
 State: NY
 Postal Code: 12553
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Directors & Officers Liability Insurance

Procurement Report for Dutchess Tobacco Asset Securitization Corporation

Run Date: 04/03/2015

Fiscal Year Ending: 12/31/2014

Status: CERTIFIED

Procurement Transactions Listing:

Additional Comments: