Procurement Report for Erie Tobacco Asset Securitization Corporation **Run Date: 04/03/2015**

Fiscal Year Ending: 12/31/2014 **Status: CERTIFIED**

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www2.erie.gov/etasc/index.php?q=financial-information
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

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Procurement Transactions Listing:

1. Vendor Name: Bank of NY Mellon

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/01/2001
End Date:
Amount: $12,040
Amount Expended for Fiscal Year: $12,040
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: One Wall Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10286
Plus 4:
Province Region:
Country: USA
Procurement Description: Administrative Trustee Fees

2. Vendor Name: Damon Morey, LLP

Type of Procurement: Legal Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 01/01/2006
End Date:
Amount: $23,497
Amount Expended for Fiscal Year: $23,497
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 200 Delaware Ave. Suite 1200
Address Line2:
City: BUFFALO
State: NY
Postal Code: 14202
Plus 4:
Province Region:
Country: USA
Procurement Description: Legal Counsel

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Procurement Transactions Listing:

3. Vendor Name: Drescher & Malecki

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/22/2012
End Date:
Amount: $19,580
Amount Expended for Fiscal Year: $19,580
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 3083 William Street Suite 5
Address Line2:
City: CHEEKTOWAGA
State: NY
Postal Code: 14227
Plus 4:
Province Region:
Country: USA
Procurement Description: Outside Auditing firm

4. Vendor Name: Fitch, Inc

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/01/2001
End Date:
Amount: $10,000
Amount Expended for Fiscal Year: $10,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: One State Street Plaza
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA
Procurement Description: Recurring rating surveillance

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Procurement Transactions Listing:

5. Vendor Name: Lawley Agency Insurance

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/01/2001
End Date:
Amount: $1,890
Amount Expended for Fiscal Year: $1,890
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 361 Delaware Ave
Address Line2:
City: BUFFALO
State: NY
Postal Code: 14202
Plus 4:
Province Region:
Country: USA

Procurement Description: Director and Officers Liability Insurance

6. Vendor Name: Lumsden & McCormick, LLP

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/01/2001
End Date:
Amount: $10,260
Amount Expended for Fiscal Year: $10,260
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 403 Main Street Suite 430
Address Line2:
City: BUFFALO
State: NY
Postal Code: 14203
Plus 4:
Province Region:
Country: USA

Procurement Description: Independent Accountant

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Procurement Transactions Listing:

7. Vendor Name: Standard & Poor's

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/01/2001
End Date:
Amount: $10,000
Amount Expended for Fiscal Year: $10,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 55 Water Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10041
Plus 4:
Province Region:
Country: USA

Procurement Description: Annual Surveillance Fee Rating Service

8. Vendor Name: Wilmington Trust

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/01/2001
End Date:
Amount: $4,400
Amount Expended for Fiscal Year: $8,800
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1100 N Market Street
Address Line2:
City: WILMINGTON
State: DE
Postal Code: 19890
Plus 4:
Province Region:
Country: USA

Procurement Description: Trustee

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Procurement Transactions Listing:

Additional Comments: