

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www.nassaucountyny.gov/542/Tobacco-Settlement-Corporation">http://www.nassaucountyny.gov/542/Tobacco-Settlement-Corporation</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	No	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

Procurement Transactions Listing:

<p>1. Vendor Name: AVZ</p> <p>Type of Procurement: Financial Services  Award Process: Authority Contract - Non-Competitive Bid  Award Date: 01/01/2014  End Date: 12/31/2017  Amount: \$29,500  Amount Expended for Fiscal Year: \$29,500  Fair market value: \$29,500</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 25 Suffolk Court  Address Line2:  City: HAUPPAUGE  State: NY  Postal Code: 11788  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: Preparation of financial statements, management's Discussion and Analysis, assisting Public Authorities Reporting Informaiton System</p>	<p>2. Vendor Name: Arthur Gallagher Management Services, INC</p> <p>Type of Procurement: Other  Award Process: Authority Contract - Non-Competitive Bid  Award Date: 09/27/2014  End Date:  Amount: \$8,329  Amount Expended for Fiscal Year: \$8,329  Fair market value: \$8,329</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 377 Oak Street  Address Line2:  City: GARDEN CITY  State: NY  Postal Code: 11530  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: Insurance Premuim</p>
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Procurement Transactions Listing:

3. Vendor Name: Deloitte & Touche

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Non-Competitive Bid  
 Award Date: 01/02/2014  
 End Date:  
 Amount: \$32,000  
 Amount Expended for Fiscal Year: \$32,000  
 Fair market value: \$32,000

Explain why the fair market value is less than the amount:

Address Line1: Two Jericho Plaza  
 Address Line2:  
 City: JERICHO  
 State: NY  
 Postal Code: 11753  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Annual Auditing fee

4. Vendor Name: Deutsche Bank

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Non-Competitive Bid  
 Award Date: 06/01/2006  
 End Date:  
 Amount: \$7,885  
 Amount Expended for Fiscal Year: \$7,885  
 Fair market value: \$7,885

Explain why the fair market value is less than the amount:

Address Line1: P. O. Box 1757  
 Address Line2: Church Street Station  
 City: NEW YORK  
 State: NY  
 Postal Code: 10008  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Trust Administration fee

Procurement Transactions Listing:

<p>5. Vendor Name: Fitch Ratings</p> <p>Type of Procurement: Financial Services  Award Process: Non Contract Procurement/Purchase Order  Award Date:  End Date:  Amount:  Amount Expended for Fiscal Year: \$10,000  Fair market value:    Explain why the fair market value is less than the amount:</p>  <p>Address Line1: One State Street Plaza  Address Line2:  City: NEW YORK  State: NY  Postal Code: 10004  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: Rating Surveillance</p>	<p>6. Vendor Name: Orrick BLX</p> <p>Type of Procurement: Legal Services  Award Process: Authority Contract - Non-Competitive Bid  Award Date: 04/04/2003  End Date:  Amount: \$20,000  Amount Expended for Fiscal Year: \$20,000  Fair market value: \$20,000    Explain why the fair market value is less than the amount:</p>  <p>Address Line1: 51 WEST 52ND STREET  Address Line2:  City: NEW YORK  State: NY  Postal Code: 10019  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: ANNUAL DISTRIBUTION DISCLOSURE AND REPORTING SERVICE</p>
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Procurement Transactions Listing:

7. Vendor Name: Standard & Poor's

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$10,000  
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 55 Water Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10041  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Rating Surveillance

**Procurement Transactions Listing:****Additional Comments:**

Procurement Information (page 1 of 6) With respect to each of the questions regarding procurement, the Corporation follows the procurement policy of Nassau County, which the board has adopted as the procurement policy of the Corporation. The Corporation is not subject to N.Y. State Finance Law Section 139j (Restrictions on contracts during the procurement process) or N.Y. State Public Authorities Law 2879 (Procurement contracts).