

Procurement Report for Nassau County Tobacco Settlement Corporation

Run Date: 05/20/2015

Fiscal Year Ending: 12/31/2014

Status: CERTIFIED

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www.nassaucountyny.gov/542/Tobacco-Settlement-Corporation">http://www.nassaucountyny.gov/542/Tobacco-Settlement-Corporation</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	No	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

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<b>1. Vendor Name:</b>	AVZ	<b>2. Vendor Name:</b>	Arthur Gallagher Management Services, INC
Type of Procurement:	Financial Services	Type of Procurement:	Other
Award Process:	Authority Contract - Non-Competitive Bid	Award Process:	Authority Contract - Non-Competitive Bid
Award Date:	01/01/2014	Award Date:	09/27/2014
End Date:	12/31/2017	End Date:	
Amount:	\$29,500	Amount:	\$8,329
Amount Expended for Fiscal Year:	\$29,500	Amount Expended for Fiscal Year:	\$8,329
Fair market value:	\$29,500	Fair market value:	\$8,329
Explain why the fair market value is less than the amount:		Explain why the fair market value is less than the amount:	
Address Line1:	25 Suffolk Court	Address Line1:	377 Oak Street
Address Line2:		Address Line2:	
City:	HAUPPAUGE	City:	GARDEN CITY
State:	NY	State:	NY
Postal Code:	11788	Postal Code:	11530
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Preparation of financial statements, management's Discussion and Analysis, assisting Public Authorities Reporting Informaiton System	Procurement Description:	Insurance Premuim

**Procurement Report for Nassau County Tobacco Settlement Corporation****Run Date: 05/20/2015****Fiscal Year Ending: 12/31/2014****Status: CERTIFIED****Procurement Transactions Listing:****3. Vendor Name:** Deloitte & Touche

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 01/02/2014  
End Date:  
Amount: \$32,000  
Amount Expended for Fiscal Year: \$32,000  
Fair market value: \$32,000

Explain why the fair market  
value is less than the amount:

Address Line1: Two Jericho Plaza  
Address Line2:  
City: JERICOHO  
State: NY  
Postal Code: 11753  
Plus 4:  
Province Region:  
Country: USA  
  
Procurement Description: Annual Auditing fee

**4. Vendor Name:** Deutsche Bank

Type of Procurement: Financial Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 06/01/2006  
End Date:  
Amount: \$7,885  
Amount Expended for Fiscal Year: \$7,885  
Fair market value: \$7,885

Explain why the fair market  
value is less than the amount:

Address Line1: P. O. Box 1757  
Address Line2: Church Street Stattion  
City: NEW YORK  
State: NY  
Postal Code: 10008  
Plus 4:  
Province Region:  
Country: USA  
  
Procurement Description: Trust Administration fee

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Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$10,000  
Fair market value:  
  
Explain why the fair market value is less than the amount:

Address Line1: One State Street Plaza  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10004  
Plus 4:  
Province Region:  
Country: USA  
  
Procurement Description: Rating Surveillance

**6. Vendor Name:** Orrick BLX

Type of Procurement: Legal Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 04/04/2003  
End Date:  
Amount: \$20,000  
Amount Expended for Fiscal Year: \$20,000  
Fair market value: \$20,000  
  
Explain why the fair market value is less than the amount:

Address Line1: 51 WEST 52ND STREET  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10019  
Plus 4:  
Province Region:  
Country: USA  
  
Procurement Description: ANNUAL DISTRIBUTION DISCLOSURE AND REPORTING SERVICE

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7. Vendor Name: Standard &amp; Poor's

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$10,000  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 55 Water Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10041  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Rating Surveillance

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Procurement Transactions Listing:Additional Comments:

Procurement Information (page 1 of 6) With respect to each of the questions regarding procurement, the Corporation follows the procurement policy of Nassau County, which the board has adopted as the procurement policy of the Corporation. The Corporation is not subject to N.Y. State Finance Law Section 139j (Restrictions on contracts during the procurement process) or N.Y. State Public Authorities Law 2879 (Procurement contracts).