

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nyceec.com/public/
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	Yes	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: ADP, Inc.

Type of Procurement: Staffing Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 08/03/2013
End Date:
Amount: \$0
Amount Expended for Fiscal Year: \$8,199.23
Fair market value: \$0

Explain why the fair market value is less than the amount:

Address Line1: One ADP Drive MS-100
Address Line2:
City: AUGUSTA
State: GA
Postal Code: 30909
Plus 4:
Province Region:
Country: USA

Procurement Description: Payroll processing for the organization

2. Vendor Name: Aetna, Inc.

Type of Procurement: Staffing Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 01/01/2013
End Date: 12/02/2013
Amount: \$0
Amount Expended for Fiscal Year: \$26,948.25
Fair market value: \$0

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 7247-0213
Address Line2:
City: PHILADELPHIA
State: PA
Postal Code: 19170
Plus 4:
Province Region:
Country: USA

Procurement Description: Health Insurance

Procurement Transactions Listing:

3. Vendor Name: Apple Industrial Corporation (NYCEDC)

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 06/01/2011
End Date: 05/28/2014
Amount: \$0
Amount Expended for Fiscal Year: \$95,282.14
Fair market value: \$0

Explain why the fair market value is less than the amount:

Address Line1: 110 William Street
Address Line2: 4th Floor
City: NEW YORK
State: NY
Postal Code: 10038
Plus 4:
Province Region:
Country: USA

Procurement Description: Administrative, IT and Operational Services

4. Vendor Name: ArmadaCare LLC.

Type of Procurement: Other
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 01/01/2014
End Date:
Amount: \$0
Amount Expended for Fiscal Year: \$26,961
Fair market value: \$0

Explain why the fair market value is less than the amount:

Address Line1: P.O. Box 1527
Address Line2:
City: COCKEYSVILLE
State: MD
Postal Code: 21030
Plus 4:
Province Region:
Country: USA

Procurement Description: Supplemental health insurance for officers.

Procurement Transactions Listing:

5. Vendor Name: Astron Resource Consulting Solutions, LLC.

Type of Procurement: Staffing Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 06/01/2012
 End Date: 09/30/2014
 Amount: \$100,000
 Amount Expended for Fiscal Year: \$31,625
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 505 8th Ave #2200
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10018
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: HR Consulting

6. Vendor Name: Bright Power & Energy Scorecards, Inc.

Type of Procurement: Technology - Consulting/Development or Support
 Award Process: Authority Contract - Competitive Bid
 Award Date: 04/09/2013
 End Date: 02/05/2022
 Amount: \$95,000
 Amount Expended for Fiscal Year: \$30,000
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 11 Hanover Square #21
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Engineering/Technical Assistance

Procurement Transactions Listing:

7. Vendor Name: Burchman Terrio Quist LLC.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 04/03/2012
End Date: 06/30/2014
Amount: \$315,000
Amount Expended for Fiscal Year: \$121,416
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 80 Broad Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA
Procurement Description: Fiscal agent

8. Vendor Name: CDI Managed Services

Type of Procurement: Technology - Consulting/Development or Support
Award Process: Authority Contract - Competitive Bid
Award Date: 05/01/2014
End Date:
Amount: \$100,000
Amount Expended for Fiscal Year: \$10,717.66
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 500 Fifth Avenue
Address Line2: Suite 1010
City: NEW YORK
State: NY
Postal Code: 10110
Plus 4:
Province Region:
Country: USA
Procurement Description: IT Support

Procurement Transactions Listing:

9. Vendor Name: Cooling Insurance Agency, Inc.

Type of Procurement: Other Professional Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$12,223.2
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 784 Troy-Schenetady Road
Address Line2:
City: LATHAM
State: NY
Postal Code: 12110
Plus 4:
Province Region:
Country: USA
Procurement Description: Insurance Broker

10. Vendor Name: DataPath Financial Services (Processworks)

Type of Procurement: Other
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 01/01/2014
End Date:
Amount: \$0
Amount Expended for Fiscal Year: \$17,401.99
Fair market value: \$0
Explain why the fair market value is less than the amount:

Address Line1: 48 Monroe Turnpike
Address Line2:
City: TRUMBULL
State: CT
Postal Code: 06611
Plus 4:
Province Region:
Country: USA
Procurement Description: Flex Spending Account for employees

Procurement Transactions Listing:

11. Vendor Name: Dentons LLP. (SNR Denton)

12. Vendor Name: Ernst & Young U.S. LLP.

Type of Procurement: Legal Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/31/2011
 End Date:
 Amount: \$1,000,000
 Amount Expended for Fiscal Year: \$35,254.5
 Fair market value:
 Explain why the fair market value is less than the amount:

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 04/30/2012
 End Date:
 Amount: \$66,000
 Amount Expended for Fiscal Year: \$50,664
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 1221 6th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10020
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: General Legal Counsel

Address Line1: 200 Plaza Drive
 Address Line2:
 City: SECAUCUS
 State: NJ
 Postal Code: 07094
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Auditing Services

Procurement Transactions Listing:

13. Vendor Name: Federal Insurance Company (Chubb and Son)
 Type of Procurement: Financial Services
 Award Process: Non Contract Procurement/Purchase Order
 Award Date:
 End Date:
 Amount:
 Amount Expended for Fiscal Year: \$8,250
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: PO Box 382001
 Address Line2:
 City: PITTSBURGH
 State: PA
 Postal Code: 15250
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Insurance fees

14. Vendor Name: Fenton Communications
 Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/22/2013
 End Date: 05/12/2016
 Amount: \$1,000,000
 Amount Expended for Fiscal Year: \$100,747.39
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 1000 Vermont Ave., NW Suite 200
 Address Line2:
 City: WASHINGTON
 State: DC
 Postal Code: 20005
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Marketing/Communications Services

Procurement Transactions Listing:

15. Vendor Name: Forsyth Street Advisors

16. Vendor Name: HR&A Advisors, Inc.

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 07/01/2014
 End Date: 07/01/2016
 Amount: \$1,000,000
 Amount Expended for Fiscal Year: \$103,524
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 588 Broadway
 Address Line2: Suite 1208
 City: NEW YORK
 State: NY
 Postal Code: 10012
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Financial Consulting

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 04/05/2012
 End Date: 03/31/2014
 Amount: \$219,418
 Amount Expended for Fiscal Year: \$6,425
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 99 Hudson Street
 Address Line2: 3rd Floor
 City: NEW YORK
 State: NY
 Postal Code: 10013
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Marketing services

Procurement Transactions Listing:

17. Vendor Name: ICR, LLC.

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/07/2013
End Date: 10/01/2013
Amount: \$43,010.6
Amount Expended for Fiscal Year: \$43,010.6
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 685 Third Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Communications consulting

18. Vendor Name: Magill Associates

Type of Procurement: Staffing Services
Award Process: Authority Contract - Competitive Bid
Award Date: 11/11/2013
End Date:
Amount: \$100,000
Amount Expended for Fiscal Year: \$28,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 420 Lexington Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10170
Plus 4:
Province Region:
Country: USA
Procurement Description: Recruitment services

Procurement Transactions Listing:

19. Vendor Name: Naeemah Lajole

20. Vendor Name: Natural Resources Defense Council

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 04/15/2013
 End Date: 06/30/2013
 Amount: \$35,450
 Amount Expended for Fiscal Year: \$5,000
 Fair market value:

Explain why the fair market value is less than the amount:

Type of Procurement: Financial Services
 Award Process: Non Contract Procurement/Purchase Order
 Award Date:
 End Date:
 Amount:
 Amount Expended for Fiscal Year: \$12,500
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 161 Remsen Street
 Address Line2: Apt #7b
 City: BROOKLYN
 State: NY
 Postal Code: 11201
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Policies & Procedures Manual Development

Address Line1: 40 West 20th Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10011
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Admin Fees for grants

Procurement Transactions Listing:

21. Vendor Name: Pillsbury Winthrop Shaw Pittman LLP.

Type of Procurement: Legal Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 03/15/2013
 End Date:
 Amount: \$100,000
 Amount Expended for Fiscal Year: \$25,587.77
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1540 Broadway
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10036
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Legal Services

22. Vendor Name: Principal Financial Group (PLIC)

Type of Procurement: Other
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 01/01/2013
 End Date:
 Amount: \$0
 Amount Expended for Fiscal Year: \$8,580.86
 Fair market value: \$0

Explain why the fair market value is less than the amount:

Address Line1: 711 High Street
 Address Line2:
 City: DES MOINES
 State: IA
 Postal Code: 50392
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Life & Dental Insurance

Procurement Transactions Listing:

23. Vendor Name: Steven Winter Associates

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/16/2013
End Date: 09/30/2015
Amount: \$99,500
Amount Expended for Fiscal Year: \$78,617.5
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 61 Washington Street
Address Line2:
City: NORWALK
State: CT
Postal Code: 06854
Plus 4:
Province Region:
Country: USA
Procurement Description: Engineering and Technical Assistance

24. Vendor Name: T.Rowe Price

Type of Procurement: Other
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 01/18/2013
End Date:
Amount: \$0
Amount Expended for Fiscal Year: \$123,473.17
Fair market value: \$0
Explain why the fair market value is less than the amount:

Address Line1: 100 East Pratt Street
Address Line2:
City: BALTIMORE
State: MD
Postal Code: 21202
Plus 4:
Province Region:
Country: USA
Procurement Description: 401K Benefits for employees

Procurement Transactions Listing:

25. Vendor Name: The Hartford

Type of Procurement: Other
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$9,888
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 301 Woods Park Drive
Address Line2:
City: CLINTON
State: NY
Postal Code: 13323
Plus 4:
Province Region:
Country: USA
Procurement Description: Insurance fees

26. Vendor Name: TransitChek

Type of Procurement: Other
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 01/01/2013
End Date:
Amount: \$0
Amount Expended for Fiscal Year: \$15,961.25
Fair market value: \$0
Explain why the fair market value is less than the amount:

Address Line1: 1065 Avenue of the Americas
Address Line2: 5th Floor
City: NEW YORK
State: NY
Postal Code: 10018
Plus 4:
Province Region:
Country: USA
Procurement Description: Transit benefits for employees

Procurement Transactions Listing:

Additional Comments: