

Procurement-Information:

| Question | Response | URL (if applicable) |
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| 1. Does the Authority have procurement guidelines? | No | |
| 2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board? | | |
| 3. Does the Authority allow for exceptions to the procurement guidelines? | | |
| 4. Does the Authority assign credit cards to employees for travel and/or business purchases? | No | |
| 5. Does the Authority require prospective bidders to sign a non-collusion agreement? | No | |
| 6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?. | No | |
| 7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"? | No | |
| 8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law? | No | |
| 8a If Yes, was a record made of this impermissible contact? | | |
| 9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law? | No | |

Procurement Transactions Listing:

1. Vendor Name: County of Westchester

2. Vendor Name: Fitch Inc.

Type of Procurement: Financial Services
 Award Process: Non Contract Procurement/Purchase Order
 Award Date:
 End Date:
 Amount:
 Amount Expended for Fiscal Year: \$35,000
 Fair market value:

Explain why the fair market value is less than the amount:

Type of Procurement: Financial Services
 Award Process: Non Contract Procurement/Purchase Order
 Award Date:
 End Date:
 Amount:
 Amount Expended for Fiscal Year: \$10,000
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 148 Martine Avenue
 Address Line2:
 City: WHITE PLAINS
 State: NY
 Postal Code: 10601
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Accounting Services

Address Line1: One State Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Rating Services

Procurement Transactions Listing:

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| <p>3. Vendor Name: O'Connor Davies LLC.</p> <p>Type of Procurement: Other Professional Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Amount Expended for Fiscal Year: \$20,000</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 500 Mamaroneck Avenue</p> <p>Address Line2:</p> <p>City: HARRISON</p> <p>State: NY</p> <p>Postal Code: 10528</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Audit Services</p> | <p>4. Vendor Name: Standard and POOR Financial Services</p> <p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Amount Expended for Fiscal Year: \$10,000</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 2542 Collection Center Drive</p> <p>Address Line2:</p> <p>City: CHICAGO</p> <p>State: IL</p> <p>Postal Code: 60693</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Rating Services</p> |
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Procurement Transactions Listing:

5. Vendor Name: Westchester County Health Care Corp.

6. Vendor Name: Wilmington Trust SP Services

Type of Procurement: Financial Services
 Award Process: Non Contract Procurement/Purchase Order
 Award Date:
 End Date:
 Amount:
 Amount Expended for Fiscal Year: \$49,386.3
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 100 Woods Road
 Address Line2:
 City: VALHALLA
 State: NY
 Postal Code: 10595
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Directors and Officers Insurance

Type of Procurement: Financial Services
 Award Process: Non Contract Procurement/Purchase Order
 Award Date:
 End Date:
 Amount:
 Amount Expended for Fiscal Year: \$5,917.75
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1100 North Market Street
 Address Line2:
 City: WILMINGTON
 State: DE
 Postal Code: 19890
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Trust and Tax Services

Procurement Report for Westchester Tobacco Asset Securitization Corporation

Run Date: 07/07/2015

Fiscal Year Ending: 12/31/2014

Status: CERTIFIED

Procurement Transactions Listing:

Additional Comments: