

**Procurement-Information:**

| Question                                                                                                                                                                                                                                                     | Response | URL (if applicable)                                                                                                                                                     |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Does the Authority have procurement guidelines?                                                                                                                                                                                                           | Yes      | <a href="http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials">http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials</a> |
| 2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?                                                                                                                                                           | Yes      |                                                                                                                                                                         |
| 3. Does the Authority allow for exceptions to the procurement guidelines?                                                                                                                                                                                    | Yes      |                                                                                                                                                                         |
| 4. Does the Authority assign credit cards to employees for travel and/or business purchases?                                                                                                                                                                 | No       |                                                                                                                                                                         |
| 5. Does the Authority require prospective bidders to sign a non-collusion agreement?                                                                                                                                                                         | Yes      |                                                                                                                                                                         |
| 6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.                                                                       | No       |                                                                                                                                                                         |
| 7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?                                            | Yes      |                                                                                                                                                                         |
| 8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?                            | No       |                                                                                                                                                                         |
| 8a If Yes, was a record made of this impermissible contact?                                                                                                                                                                                                  |          |                                                                                                                                                                         |
| 9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law? | Yes      |                                                                                                                                                                         |

Procurement Transactions Listing:

1. Vendor Name: CITIGROUP GLOBAL MARKETS INC

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$41,733.74  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 390 GREENWICH STREET 2ND FLOOR  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10013  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: FINANCIAL SERVICES

2. Vendor Name: CRA INFRASTRUCTURE & ENGINEERING INC

Type of Procurement: Consulting Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/04/2013  
End Date:  
Amount: \$19,500  
Amount Expended for Fiscal Year: \$19,500  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 285 DELAWARE AVENUE SUITE 500  
Address Line2:  
City: BUFFALO  
State: NY  
Postal Code: 14202  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: CONSULTING SERVICES

Procurement Transactions Listing:

3. Vendor Name: CRA INFRASTRUCTURE & ENGINEERING INC

Type of Procurement: Consulting Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 10/05/2012  
 End Date:  
 Amount: \$1,625  
 Amount Expended for Fiscal Year: \$1,625  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 285 DELAWARE AVENUE SUITE 500  
 Address Line2:  
 City: BUFFALO  
 State: NY  
 Postal Code: 14202  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: CONSULTING SERVICES

4. Vendor Name: DRESCHER & MALECKI LLP

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 07/10/2013  
 End Date:  
 Amount: \$4,100  
 Amount Expended for Fiscal Year: \$4,100  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 3083 WILLIAM STREET SUITE 5  
 Address Line2:  
 City: CHEEKTOWAGA  
 State: NY  
 Postal Code: 14227  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: FINANCIAL SERVICES

Procurement Transactions Listing:

5. Vendor Name: INTEGRITY PUBLIC FINANCE CONSULTING

Type of Procurement: Consulting Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$17,600  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 101 NORTH MONROE STREET  
Address Line2: SUITE 900  
City: TALLAHASSEE  
State: FL  
Postal Code: 32301  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: CONSULTING SERVICES

6. Vendor Name: M & T BANK

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$24,656  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 1 M & T PLAZA 7TH FLOOR  
Address Line2: CORPORATE TRUST DEPARTMENT  
City: BUFFALO  
State: NY  
Postal Code: 14203  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: FINANCIAL SERVICES

Procurement Transactions Listing:

|                                                            |                                         |                                                            |                                         |
|------------------------------------------------------------|-----------------------------------------|------------------------------------------------------------|-----------------------------------------|
| 7. Vendor Name:                                            | STANDARD & POORS FINANCIAL SERVICES LLC | 8. Vendor Name:                                            | U.S. BANK NATIONAL ASSOCIATION          |
| Type of Procurement:                                       | Financial Services                      | Type of Procurement:                                       | Financial Services                      |
| Award Process:                                             | Non Contract Procurement/Purchase Order | Award Process:                                             | Non Contract Procurement/Purchase Order |
| Award Date:                                                |                                         | Award Date:                                                |                                         |
| End Date:                                                  |                                         | End Date:                                                  |                                         |
| Amount:                                                    |                                         | Amount:                                                    |                                         |
| Amount Expended for Fiscal Year:                           | \$5,000                                 | Amount Expended for Fiscal Year:                           | \$12,925                                |
| Fair market value:                                         |                                         | Fair market value:                                         |                                         |
| Explain why the fair market value is less than the amount: |                                         | Explain why the fair market value is less than the amount: |                                         |
| Address Line1:                                             | 55 WATER STREET                         | Address Line1:                                             | 100 WALL ST STE 1600                    |
| Address Line2:                                             |                                         | Address Line2:                                             |                                         |
| City:                                                      | NEW YORK                                | City:                                                      | NEW YORK                                |
| State:                                                     | NY                                      | State:                                                     | NY                                      |
| Postal Code:                                               | 10041                                   | Postal Code:                                               | 10005                                   |
| Plus 4:                                                    |                                         | Plus 4:                                                    |                                         |
| Province Region:                                           |                                         | Province Region:                                           |                                         |
| Country:                                                   | USA                                     | Country:                                                   | USA                                     |
| Procurement Description:                                   | FINANCIAL SERVICES                      | Procurement Description:                                   | FINANCIAL SERVICES                      |

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Procurement Transactions Listing:

Additional Comments: