

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nysirestakes.com/
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	Yes	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	No	
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	No	
12. Is the Authority subject to Article 15-A of the Executive Law?	Yes	
13. Has the Authority designated a person or persons to oversee its MWBE program?	Yes	
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	Yes	
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	No	
16. Did the Authority establish a goal for participation by	Yes	

Procurement-Information:

Question	Response	URL (if applicable)
a. If yes, what was the goal (percentage of total procurements) for the reporting period?	20%	
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?	29%	

Procurement Transactions Listing:

<p>1. Vendor Name: Citibank</p> <p>Transaction Number:</p> <p>Procurement Description: Credit Card</p> <p>Amount Expended for Fiscal Year: \$13,624</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise?</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: PO Box 183173</p> <p>Address Line2:</p> <p>City: COLUMBUS</p> <p>State: OH</p> <p>Postal Code: 43218</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
--	---

Procurement Transactions Listing:

2. Vendor Name: Curvon Corp
Type of Procurement: Other
Award Process: Non Contract Procurement/Purchase Order
Transaction Number:
Award Date:
Procurement Description: Coolers
Begin Date:
Renewal Date:
Amount Expended for Fiscal Year: \$16,991.34
End Date:
Amount Expended for Life to Date:
Amount:
Does the contract have an end Date:
Fair Market value:
Current or Outstanding Balance:
Explain why the fair market value is less than the contract amount:
Number Of Bids Or Proposals Received Prior to Award of Contract:
Status: COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?
were MWBE firms solicited as part of this procurement proces
Is the Vendor a Minority or Woman-Owned Business Enterprise? No
Exemption from the publication requirements of Article 4c of the economic-development law?
Number of bids and proposal received from MWBE firms:
If Yes, basis for exemption:
Address Line1: 34 Apple St
Address Line2:
City: TINTON FALLS
State: NJ
Postal Code: 07724
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

3. Vendor Name:	Dadia Valles Vendiola LLP	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Accounting Services	Award Date:	02/01/2014
		Begin Date:	04/22/2014
		Renewal Date:	02/01/2014
Amount Expended for Fiscal Year:	\$10,000	End Date:	
Amount Expended for Life to Date:	\$10,000	Amount:	\$10,000
Does the contract have an end Date:	No	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	1		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	3
If Yes, basis for exemption:			
Address Line1:	91-31 Queens Blvd		
Address Line2:			
City:	ELMHURST		
State:	NY		
Postal Code:	11373		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

4. Vendor Name:	Embroidery Unlimited	Type of Procurement:	Commodities/Supplies
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Promotional items	Award Date:	07/01/2013
		Begin Date:	07/01/2013
		Renewal Date:	10/01/2013
Amount Expended for Fiscal Year:	\$9,960	End Date:	10/01/2013
Amount Expended for Life to Date:	\$23,365	Amount:	\$9,960
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	3		
Is the Vendor a NYS or Foreign Business Enterprise?		Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	3
If Yes, basis for exemption:			
Address Line1:	175 Boston Rd East		
Address Line2:			
City:	MARLBOROUGH		
State:	MA		
Postal Code:	01752		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>5. Vendor Name: Harness Horse Breeders of NYS, Inc.</p> <p>Transaction Number:</p> <p>Procurement Description: Consulting services</p> <p>Amount Expended for Fiscal Year: \$265,375</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 12 Avis Dr - Suite 1</p> <p>Address Line2:</p> <p>City: LATHAM</p> <p>State: NY</p> <p>Postal Code: 12110</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Consulting Services</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
---	--

Procurement Transactions Listing:

6. Vendor Name:	LMN Printing Company Inc	Type of Procurement:	Commodities/Supplies
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Printing services	Award Date:	08/27/2014
		Begin Date:	08/27/2014
		Renewal Date:	08/27/2014
Amount Expended for Fiscal Year:	\$6,250	End Date:	
Amount Expended for Life to Date:	\$12,350	Amount:	\$6,250
Does the contract have an end Date:	No	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	1		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	2
If Yes, basis for exemption:			
Address Line1:	23 West Merrick Rd		
Address Line2:			
City:	VALLEY STREAM		
State:	NY		
Postal Code:	11580		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>7. Vendor Name: MB Publishing</p> <p>Transaction Number:</p> <p>Procurement Description: Advertising</p> <p>Amount Expended for Fiscal Year: \$8,125</p> <p>Amount Expended for Life to Date: \$21,695</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 1</p> <p>Is the Vendor a NYS or Foreign Business Enterprise?</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 27 Woodland Dr</p> <p>Address Line2:</p> <p>City: FAIR HAVEN</p> <p>State: NJ</p> <p>Postal Code: 07704</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 02/05/2014</p> <p>Begin Date: 02/05/2014</p> <p>Renewal Date:</p> <p>End Date: 08/12/2014</p> <p>Amount: \$8,125</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
--	--

Procurement Transactions Listing:

<p>8. Vendor Name: Morrisville Auxillary Corp</p> <p>Transaction Number:</p> <p>Procurement Description: Blood gas testing on horses.</p> <p>Amount Expended for Fiscal Year: \$28,600</p> <p>Amount Expended for Life to Date: \$57,300</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: PO Box 901</p> <p>Address Line2:</p> <p>City: MORRISVILLE</p> <p>State: NY</p> <p>Postal Code: 13408</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 06/01/2014</p> <p>Begin Date: 08/01/2014</p> <p>Renewal Date:</p> <p>End Date: 08/27/2014</p> <p>Amount: \$28,600</p> <p>Fair Market value: \$28,600</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
---	--

Procurement Transactions Listing:

<p>9. Vendor Name: Young/Sommer LLC</p> <p>Transaction Number:</p> <p>Procurement Description: Legal Services</p> <p>Amount Expended for Fiscal Year: \$10,071.91</p> <p>Amount Expended for Life to Date: \$10,071.91</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 2</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 5 Palisades Dr</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12205</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 06/18/2014</p> <p>Begin Date: 06/18/2014</p> <p>Renewal Date:</p> <p>End Date: 08/19/2014</p> <p>Amount: \$10,071.91</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
---	---

Additional Comments: