

Procurement-Information:

| Question | Response | URL (if applicable) |
|--|----------|---|
| 1. Does the Authority have procurement guidelines? | Yes | http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398 |
| 2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board? | Yes | |
| 3. Does the Authority allow for exceptions to the procurement guidelines? | Yes | |
| 4. Does the Authority assign credit cards to employees for travel and/or business purchases? | Yes | |
| 5. Does the Authority require prospective bidders to sign a non-collusion agreement? | Yes | |
| 6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?. | Yes | |
| 7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"? | Yes | |
| 8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law? | No | |
| If Yes, was a record made of this impermissible contact? | | |
| 9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law? | Yes | |
| 10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy? | Yes | Attachment Included |
| 11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy? | Yes | Attachment Included |
| 12. Is the Authority subject to Article 15-A of the Executive Law? | Yes | |
| 13. Has the Authority designated a person or persons to oversee its MWBE program? | Yes | |
| 14. Does the Authority maintain a current and updated list of qualified certified MWBEs? | Yes | |
| 15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority? | Yes | |
| 16. Did the Authority establish a goal for participation by | Yes | |

Procurement-Information:

| Question | Response | URL (if applicable) |
|--|----------|---------------------|
| a. If yes, what was the goal (percentage of total procurements) for the reporting period? | 20% | |
| b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period? | 32% | |

Procurement Transactions Listing:

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| 1. Vendor Name: | BNY Mellon Capital Markets LLC | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0134 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$8,675 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$13,050 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 32 Old Slip, 15th Floor | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10286 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| <p>2. Vendor Name: Banc of America Securities</p> <p>Transaction Number: EFC-0127</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$621,427</p> <p>Amount Expended for Life to Date: \$743,977.63</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 31</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One Bryant Park</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10036</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/06/2011</p> <p>Begin Date: 12/06/2011</p> <p>Renewal Date:</p> <p>End Date: 12/05/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p> |
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Procurement Transactions Listing:

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| 3. Vendor Name: | Bank of New York | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0026 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Trustee | Award Date: | 02/05/1993 |
| | | Begin Date: | 02/05/1993 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$26,000 | End Date: | |
| Amount Expended for Life to Date: | \$445,991 | Amount: | \$0 |
| Does the contract have an end Date: | No | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 12 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | No |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | One Wall Street | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10286 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| <p>4. Vendor Name: Barclay's Capital</p> <p>Transaction Number: EFC-0133</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$70,555</p> <p>Amount Expended for Life to Date: \$104,949.34</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 31</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 745 Seventh Ave</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10019</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/06/2011</p> <p>Begin Date: 12/06/2011</p> <p>Renewal Date:</p> <p>End Date: 12/05/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p> |
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Procurement Transactions Listing:

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| <p>5. Vendor Name: Blackbaud Fundware</p> <p>Transaction Number: EFC-0003</p> <p>Procurement Description: Software License and Support</p> <p>Amount Expended for Fiscal Year: \$3,237.95</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 9605 Scranton Rd</p> <p>Address Line2:</p> <p>City: SAN DIEGO</p> <p>State: CA</p> <p>Postal Code: 92121</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Technology - Software</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p> |
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Procurement Transactions Listing:

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|--|--------------------------|---|--------------------------------------|
| 6. Vendor Name: | Blaylock Robert Van LLC | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0146 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$4,469 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$6,969 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | Foreign | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 350 Frank H. Ogawa Plaza | | |
| Address Line2: | | | |
| City: | OAKLAND | | |
| State: | CA | | |
| Postal Code: | 94612 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| <p>7. Vendor Name: Bloomberg LP</p> <p>Transaction Number: EFC-0068</p> <p>Procurement Description: Financial Services</p> <p>Amount Expended for Fiscal Year: \$17,775</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 731 Lexington Ave</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10022</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p> |
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Procurement Transactions Listing:

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| <p>8. Vendor Name: CDM Smith Inc</p> <p>Transaction Number: C-201306</p> <p>Procurement Description: Inspections/Outreach</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$0</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$499,410</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 8</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 15 British American Blvd</p> <p>Address Line2:</p> <p>City: LATHAM</p> <p>State: NY</p> <p>Postal Code: 12110</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/18/2013</p> <p>Begin Date: 09/18/2013</p> <p>Renewal Date:</p> <p>End Date: 09/18/2016</p> <p>Amount: \$490,410</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 7</p> |
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Procurement Transactions Listing:

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| 9. Vendor Name: | CDM/C&S | Type of Procurement: | Consulting Services |
| Transaction Number: | EFC-0087 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | ARRA Compliance | Award Date: | 08/23/2010 |
| | | Begin Date: | 08/23/2010 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$51,400 | End Date: | 08/23/2013 |
| Amount Expended for Life to Date: | \$842,541 | Amount: | \$935,285 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$92,744 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 19 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | COMPLETED |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 3 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 499 Col. Eileen Collins Blvd | | |
| Address Line2: | | | |
| City: | SYRACUSE | | |
| State: | NY | | |
| Postal Code: | 13212 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| 10. Vendor Name: | CV Associates NY | Type of Procurement: | Other Professional Services |
| Transaction Number: | C-201307 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Inspections/Outreach | Award Date: | 05/28/2013 |
| | | Begin Date: | 05/28/2013 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$156,270 | End Date: | 05/27/2014 |
| Amount Expended for Life to Date: | \$156,270 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 3 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | COMPLETED |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 3 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 148 Route 17M | | |
| Address Line2: | | | |
| City: | HARRIMAN | | |
| State: | NY | | |
| Postal Code: | 10926 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| 11. Vendor Name: | Capital District Records Management | Type of Procurement: | Other |
| Transaction Number: | EFC-0084 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | File Storage and Retrieval | Award Date: | 05/01/2010 |
| | | Begin Date: | 05/01/2010 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$4,461.85 | End Date: | |
| Amount Expended for Life to Date: | \$15,890.7 | Amount: | \$0 |
| Does the contract have an end Date: | No | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 3 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | PO Box 4044 | | |
| Address Line2: | | | |
| City: | CLIFTON PARK | | |
| State: | NY | | |
| Postal Code: | 12065 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| 12. Vendor Name: | Castle Oak Securities | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0147 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$90,660 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$93,160 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 110 East 59th St. | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10022 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| 13. Vendor Name: | Checkwise Payroll | Type of Procurement: | Other Professional Services |
| Transaction Number: | EFC-0080 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Payroll Services | Award Date: | 01/01/2013 |
| | | Begin Date: | 01/01/2013 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$5,535.65 | End Date: | 12/31/2015 |
| Amount Expended for Life to Date: | \$7,262.7 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 5 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 110 Wolf Road | | |
| Address Line2: | | | |
| City: | ALBANY | | |
| State: | NY | | |
| Postal Code: | 12205 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|------------------------------|---|--------------------------------------|
| 14. Vendor Name: | Citigroup Global Markets | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0129 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$821,775 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$929,466.88 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 388 Greenwich St, 14th Floor | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10013 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|--------------------------|---|--------------------------------------|
| 15. Vendor Name: | D. Seaton and Associates | Type of Procurement: | Legal Services |
| Transaction Number: | C-201112 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Legal Services | Award Date: | 09/12/2011 |
| | | Begin Date: | 09/12/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$0 | End Date: | 09/11/2014 |
| Amount Expended for Life to Date: | \$20,228.35 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 7 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 245 Park Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10167 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|--------------------------------|---|--------------------------------------|
| 16. Vendor Name: | Estrada Hinojosa & Company Inc | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0144 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$300 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$12,560 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | Foreign | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 1717 Main St | | |
| Address Line2: | | | |
| City: | DALLAS | | |
| State: | TX | | |
| Postal Code: | 75201 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| <p>17. Vendor Name: Executive Information Systems</p> <p>Transaction Number: EFC-0097</p> <p>Procurement Description: SAS Software Maintenance</p> <p>Amount Expended for Fiscal Year: \$12,679</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 6901 Rockledge Drive</p> <p>Address Line2:</p> <p>City: BETHESDA</p> <p>State: MD</p> <p>Postal Code: 20827</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Technology - Software</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p> |
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Procurement Transactions Listing:

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| <p>18. Vendor Name: Fidelity Capital Markets Services</p> <p>Transaction Number: EFC-0148</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$78,312</p> <p>Amount Expended for Life to Date: \$107,888.88</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 31</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 200 Liberty St</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10281</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/06/2011</p> <p>Begin Date: 12/06/2011</p> <p>Renewal Date:</p> <p>End Date: 12/05/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p> |
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Procurement Transactions Listing:

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| 19. Vendor Name: | First Southwest Company | Type of Procurement: | Financial Services |
| Transaction Number: | C-201301 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Financial Advisory Services | Award Date: | 01/01/2013 |
| | | Begin Date: | 01/01/2013 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$0 | End Date: | 12/31/2015 |
| Amount Expended for Life to Date: | \$0 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 2 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 750 Lexington Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10022 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|------------------------|---|--|
| 20. Vendor Name: | Fitch Solutions, Inc. | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0096 | Award Process: | Authority Contract - Non-Competitive Bid |
| Procurement Description: | Public Finance via Web | Award Date: | 08/01/2011 |
| | | Begin Date: | 08/01/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$10,475 | End Date: | 07/31/2014 |
| Amount Expended for Life to Date: | \$29,950 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | \$10,475 |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 0 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | No |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | One State Street Plaza | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10004 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| <p>21. Vendor Name: Fitch, Inc.</p> <p>Transaction Number: EFC-0021</p> <p>Procurement Description: Bond Rating Services</p> <p>Amount Expended for Fiscal Year: \$125,000</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One State Street Plaza</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10004</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p> |
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Procurement Transactions Listing:

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| 22. Vendor Name: | Fulbright and Jaworski LLP | Type of Procurement: | Legal Services |
| Transaction Number: | C-201109 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Legal Advisory | Award Date: | 09/12/2011 |
| | | Begin Date: | 09/12/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$29,548.98 | End Date: | 09/11/2014 |
| Amount Expended for Life to Date: | \$29,948.98 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 7 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 666 Fifth Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10103 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|-------------------------------|---|--------------------------------------|
| 23. Vendor Name: | GHD Consulting LLC | Type of Procurement: | Consulting Services |
| Transaction Number: | C-200901 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Watershed Consultant Engineer | Award Date: | 06/10/2009 |
| | | Begin Date: | 06/10/2009 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$32,451.61 | End Date: | 06/09/2014 |
| Amount Expended for Life to Date: | \$43,772.14 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 9 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | One Remington Park Drive | | |
| Address Line2: | | | |
| City: | CAZENOVIA | | |
| State: | NY | | |
| Postal Code: | 13035 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| <p>24. Vendor Name: Garnet River LLC</p> <p>Transaction Number: C-201302</p> <p>Procurement Description: Software App Development</p> <p>Amount Expended for Fiscal Year: \$417,779.65</p> <p>Amount Expended for Life to Date: \$417,779.65</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 7</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 60 Railroad Place</p> <p>Address Line2:</p> <p>City: SARATOGA SPRINGS</p> <p>State: NY</p> <p>Postal Code: 12866</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Technology - Consulting/Development or Su</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 04/26/2013</p> <p>Begin Date: 04/26/2013</p> <p>Renewal Date:</p> <p>End Date: 07/26/2015</p> <p>Amount: \$997,000</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 2</p> |
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Procurement Transactions Listing:

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| 25. Vendor Name: | George K Baum | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0135 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$11,337 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$14,419.01 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 584 Broadway | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10012 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|---|---|
| <p>26. Vendor Name: Gilberti Stinziano Heintz & Smith PC</p> <p>Transaction Number: C-201109</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$159,073.06</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 555 East Genesee Street</p> <p>Address Line2:</p> <p>City: SYRACUSE</p> <p>State: NY</p> <p>Postal Code: 13202</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2011</p> <p>Begin Date: 09/12/2011</p> <p>Renewal Date:</p> <p>End Date: 09/11/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 7</p> |
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Procurement Transactions Listing:

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| 27. Vendor Name: | Golden Holley James LLP | Type of Procurement: | Legal Services |
| Transaction Number: | C-201114 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Legal Advisory | Award Date: | 09/12/2011 |
| | | Begin Date: | 09/12/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$25,000 | End Date: | 09/11/2014 |
| Amount Expended for Life to Date: | \$25,000 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | | Number of bids and proposal received from MWBE firms: | 7 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 60 East 42nd Street | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10017 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| <p>28. Vendor Name: Goldman Sachs & Company</p> <p>Transaction Number: EFC-0132</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$724,268</p> <p>Amount Expended for Life to Date: \$826,001.75</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 31</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 85 Broad St, 24th Floor</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10004</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/06/2011</p> <p>Begin Date: 12/06/2011</p> <p>Renewal Date:</p> <p>End Date: 12/05/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p> |
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Procurement Transactions Listing:

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| 29. Vendor Name: | Gonzalez Saggio & Harlan LLP | Type of Procurement: | Legal Services |
| Transaction Number: | C-201109 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Legal Advisory | Award Date: | 09/12/2011 |
| | | Begin Date: | 09/12/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$37,889.78 | End Date: | 09/11/2014 |
| Amount Expended for Life to Date: | \$89,309.17 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 7 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 292 Madison Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10017 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|---------------------------------|---|--------------------------------------|
| 30. Vendor Name: | Graves Horton Askew & Johns LLC | Type of Procurement: | Legal Services |
| Transaction Number: | C-201116 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Legal Advisory | Award Date: | 09/12/2011 |
| | | Begin Date: | 09/12/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$0 | End Date: | 09/11/2014 |
| Amount Expended for Life to Date: | \$0 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | Foreign | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 7 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 1750 K Street | | |
| Address Line2: | | | |
| City: | WASHINGTON | | |
| State: | DC | | |
| Postal Code: | 20006 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| 31. Vendor Name: | Guggenheim Securities | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0149 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$18,871 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$31,418.5 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 330 Madison Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10017 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| 32. Vendor Name: | HRN Management Group | Type of Procurement: | Technology - Software |
| Transaction Number: | EFC-0073 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Performance Appraisal | Award Date: | 03/15/2007 |
| | | Begin Date: | 03/15/2007 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$1,662.78 | End Date: | 01/31/2015 |
| Amount Expended for Life to Date: | \$13,938.31 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 3 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | Foreign | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | No |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 802 E. Winchester Street | | |
| Address Line2: | | | |
| City: | SALT LAKE CITY | | |
| State: | UT | | |
| Postal Code: | 84107 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| 33. Vendor Name: | Harris Beach PLLC | Type of Procurement: | Legal Services |
| Transaction Number: | C-201105 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Legal Services | Award Date: | 09/12/2011 |
| | | Begin Date: | 09/12/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$1,420 | End Date: | 09/11/2014 |
| Amount Expended for Life to Date: | \$1,420 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 7 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 677 Broadway | | |
| Address Line2: | | | |
| City: | ALBANY | | |
| State: | NY | | |
| Postal Code: | 12207 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| <p>34. Vendor Name: Hawkins Delafield & Wood LLP</p> <p>Transaction Number: C-201109</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$509,841.41</p> <p>Amount Expended for Life to Date: \$1,261,780.24</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One Chase Manhattan Plaza</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10005</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2011</p> <p>Begin Date: 09/12/2011</p> <p>Renewal Date:</p> <p>End Date: 09/11/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 7</p> |
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Procurement Transactions Listing:

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| 35. Vendor Name: | Holland & Knight LLP | Type of Procurement: | Legal Services |
| Transaction Number: | C-201109 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Legal Advisory | Award Date: | 09/12/2011 |
| | | Begin Date: | 09/12/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$0 | End Date: | 09/11/2014 |
| Amount Expended for Life to Date: | \$0 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 7 |
| If Yes, basis for exemption: | | | |
| Address Line1: | One Chase Manhattan Plaza | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10005 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| 36. Vendor Name: | Interactive Data Pricing and Reference Data, Inc. | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0091 | Award Process: | Authority Contract - Non-Competitive Bid |
| Procurement Description: | Valuation Services | Award Date: | 09/20/2011 |
| | | Begin Date: | 09/20/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$9,867.74 | End Date: | 09/19/2014 |
| Amount Expended for Life to Date: | \$22,978.39 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | \$15,000 |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 0 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | Foreign | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | No |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 32 Crosby Drive | | |
| Address Line2: | | | |
| City: | BEDFORD | | |
| State: | MA | | |
| Postal Code: | 01730 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|----------------------------|---|--------------------------------------|
| 37. Vendor Name: | Iron Mountain | Type of Procurement: | Other |
| Transaction Number: | EFC-0075 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | File Storage and Retrieval | Award Date: | 04/01/1995 |
| | | Begin Date: | 04/01/1995 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$13,085.27 | End Date: | |
| Amount Expended for Life to Date: | \$123,234.85 | Amount: | \$0 |
| Does the contract have an end Date: | No | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 0 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | No |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 3 Green Mountain Drive | | |
| Address Line2: | | | |
| City: | COHOES | | |
| State: | NY | | |
| Postal Code: | 12047 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|-----------------|---|--------------------------------------|
| 38. Vendor Name: | JP Morgan | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0134 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$166,160 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$405,245.16 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 383 Madison Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10179 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|-------------------------|---|--------------------------------------|
| 39. Vendor Name: | Janney Montgomery Scott | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0136 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$14,298 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$43,493 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | Foreign | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 1801 Market Street | | |
| Address Line2: | | | |
| City: | PHILADELPHIA | | |
| State: | PA | | |
| Postal Code: | 19103 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|-----------------------|---|--------------------------------------|
| 40. Vendor Name: | Jefferies and Company | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0131 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$61,249 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$82,897.75 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 677 Broadway | | |
| Address Line2: | | | |
| City: | ALBANY | | |
| State: | NY | | |
| Postal Code: | 12207 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|----------------|---|--------------------------------------|
| 41. Vendor Name: | KPMG LLP | Type of Procurement: | Financial Services |
| Transaction Number: | C-201101 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Audit Services | Award Date: | 04/01/2011 |
| | | Begin Date: | 04/01/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$89,233 | End Date: | 03/31/2016 |
| Amount Expended for Life to Date: | \$249,631 | Amount: | \$363,033 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$113,402 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 6 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 515 Broadway | | |
| Address Line2: | | | |
| City: | ALBANY | | |
| State: | NY | | |
| Postal Code: | 12207 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|-------------------------|---|--------------------------------------|
| 42. Vendor Name: | KeyBanc Capital Markets | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0150 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$18,480 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$20,992.5 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 40 Rockefeller Plaza | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10014 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|---|
| <p>43. Vendor Name: Kronos</p> <p>Transaction Number: EFC-0031</p> <p>Procurement Description: Software Maintenance</p> <p>Amount Expended for Fiscal Year: \$1,193.52</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 297 Billerica Rd</p> <p>Address Line2:</p> <p>City: CHELMSFORD</p> <p>State: MA</p> <p>Postal Code: 01824</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Technology - Software</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms: 0</p> |
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Procurement Transactions Listing:

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| 44. Vendor Name: | Lebenthal & Co. LLC | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0137 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$23,402 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$89,050.43 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 521 Fifth Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10175 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|---|
| <p>45. Vendor Name: Lexis-Nexis</p> <p>Transaction Number: EFC-0009</p> <p>Procurement Description: Online Legal Info Service</p> <p>Amount Expended for Fiscal Year: \$710.02</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 10 Milk St</p> <p>Address Line2:</p> <p>City: BOSTON</p> <p>State: MA</p> <p>Postal Code: 02108</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Technology - Software</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms: 0</p> |
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Procurement Transactions Listing:

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| <p>46. Vendor Name: Logic House LTD</p> <p>Transaction Number: PR65777</p> <p>Procurement Description: IT Systems Transformation</p> <p>Amount Expended for Fiscal Year: \$163,032.64</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 49950 Jefferson Street</p> <p>Address Line2:</p> <p>City: INDIO</p> <p>State: CA</p> <p>Postal Code: 92201</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Technology - Consulting/Development or Su</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p> |
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Procurement Transactions Listing:

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| <p>47. Vendor Name: Loop Capital Markets</p> <p>Transaction Number: EFC-0124</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$21,659</p> <p>Amount Expended for Life to Date: \$162,180.38</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 31</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 521 Fifth Ave</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10175</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/06/2011</p> <p>Begin Date: 12/06/2011</p> <p>Renewal Date:</p> <p>End Date: 12/05/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p> |
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Procurement Transactions Listing:

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| <p>48. Vendor Name: M&T Bank</p> <p>Transaction Number: EFC-0027</p> <p>Procurement Description: Trustee</p> <p>Amount Expended for Fiscal Year: \$261,598</p> <p>Amount Expended for Life to Date: \$5,581,085.31</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One M&T Plaza</p> <p>Address Line2:</p> <p>City: BUFFALO</p> <p>State: NY</p> <p>Postal Code: 14203</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 02/05/1993</p> <p>Begin Date: 02/05/1993</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p> |
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Procurement Transactions Listing:

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|--|-------------------|---|--------------------------------------|
| 49. Vendor Name: | MR Beal & Company | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0130 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$282,914 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$313,004 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 110 Wall Street | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10005 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|--------------------|---|--------------------------------------|
| 50. Vendor Name: | Marous & Marous PC | Type of Procurement: | Legal Services |
| Transaction Number: | C-201118 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Legal Advisory | Award Date: | 09/12/2011 |
| | | Begin Date: | 09/12/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$0 | End Date: | 09/11/2014 |
| Amount Expended for Life to Date: | \$0 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 7 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 1674 Broadway | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10019 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|---------------------|---|--------------------------------------|
| 51. Vendor Name: | Mesirow Financial | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0151 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$32,622 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$36,865.75 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | Foreign | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 353 N. Clark Street | | |
| Address Line2: | | | |
| City: | CHICAGO | | |
| State: | IL | | |
| Postal Code: | 60654 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

| | | | |
|--|------------------------|---|--|
| 52. Vendor Name: | Milliman Inc | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0142 | Award Process: | Authority Contract - Non-Competitive Bid |
| Procurement Description: | Actuarial Valuation | Award Date: | 01/01/2013 |
| | | Begin Date: | 01/01/2013 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$12,500 | End Date: | 12/31/2013 |
| Amount Expended for Life to Date: | \$12,500 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | \$12,500 |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | | Status: | COMPLETED |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | were MWBE firms solicited as part of this procurement proces | No |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | Number of bids and proposal received from MWBE firms: | |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | | |
| If Yes, basis for exemption: | | | |
| Address Line1: | 250 Washington Ave Ext | | |
| Address Line2: | | | |
| City: | ALBANY | | |
| State: | NY | | |
| Postal Code: | 12203 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|-------------------------|---|--|
| 53. Vendor Name: | Moody's Analytics, Inc. | Type of Procurement: | Technology - Software |
| Transaction Number: | 201106 | Award Process: | Authority Contract - Non-Competitive Bid |
| Procurement Description: | Global Credit Research | Award Date: | 08/01/2011 |
| | | Begin Date: | 08/01/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$49,999 | End Date: | 07/31/2014 |
| Amount Expended for Life to Date: | \$139,499 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | \$43,800 |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | | Status: | OPEN |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | were MWBE firms solicited as part of this procurement proces | No |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | Number of bids and proposal received from MWBE firms: | 0 |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | | |
| If Yes, basis for exemption: | | | |
| Address Line1: | 7 World Trade Center | | |
| Address Line2: | 250 Greenwich Ave | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10007 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|---|
| <p>54. Vendor Name: Moody's Investor Services</p> <p>Transaction Number: EFC-0022</p> <p>Procurement Description: Bond Rating Services</p> <p>Amount Expended for Fiscal Year: \$233,000</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: PO Box 102597</p> <p>Address Line2:</p> <p>City: ATLANTA</p> <p>State: GA</p> <p>Postal Code: 30368</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p> |
|--|---|

Procurement Transactions Listing:

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|--|-----------------------------|---|--------------------------------------|
| 55. Vendor Name: | Morgan Keegan & Company Inc | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0138 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$0 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$22,629.97 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 535 Madison Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10022 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|------------------------------|---|--------------------------------------|
| 56. Vendor Name: | Morgan Stanley & Company Inc | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0125 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$138,115 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$1,088,075.6 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 1585 Broadway | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10036 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|---|---|
| <p>57. Vendor Name: New Horizons Albany</p> <p>Transaction Number: EFC-0156</p> <p>Procurement Description: Computer Training</p> <p>Amount Expended for Fiscal Year: \$29,940</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise?</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 10 Airline Drive</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12205</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Technology - Consulting/Development or Su</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p> |
|---|---|

Procurement Transactions Listing:

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|--|-----------------|---|--------------------------------------|
| 58. Vendor Name: | Nixon Peabody | Type of Procurement: | Legal Services |
| Transaction Number: | C-201103 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Legal Advisory | Award Date: | 09/12/2011 |
| | | Begin Date: | 09/12/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$0 | End Date: | 09/11/2014 |
| Amount Expended for Life to Date: | \$0 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 7 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 437 Madison Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10022 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|-----------------------------------|---|--------------------------------------|
| 59. Vendor Name: | Orrick Herrington & Sutcliffe LLP | Type of Procurement: | Legal Services |
| Transaction Number: | C-201106 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Legal Advisory | Award Date: | 09/12/2011 |
| | | Begin Date: | 09/12/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$0 | End Date: | 09/11/2014 |
| Amount Expended for Life to Date: | \$0 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 7 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 51 West 52nd Street | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10019 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

| | | | |
|--|-----------------------------|---|--------------------------------------|
| 60. Vendor Name: | PFM Asset Management LLC | Type of Procurement: | Financial Services |
| Transaction Number: | C-201121 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Arbitrage Rebate Services | Award Date: | 01/01/2012 |
| | | Begin Date: | 01/01/2012 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$63,000 | End Date: | 12/31/2016 |
| Amount Expended for Life to Date: | \$135,000 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 5 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | Foreign | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 1 |
| If Yes, basis for exemption: | | | |
| Address Line1: | One Keystone Plaza, Ste 300 | | |
| Address Line2: | | | |
| City: | HARRISBURG | | |
| State: | PA | | |
| Postal Code: | 17101 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|------------------------|---|--------------------------------------|
| 61. Vendor Name: | Prager Sealy & Company | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0152 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$2,938 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$24,523 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 60 E. 42nd Street | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10165 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|----------------------------------|---|--------------------------------------|
| 62. Vendor Name: | RBC Capital | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0139 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$62,862 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$69,869.63 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | One Liberty Plaza - 165 Broadway | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10006 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|-------------------|---|--|
| 63. Vendor Name: | RR Donnelley | Type of Procurement: | Other Professional Services |
| Transaction Number: | C-201305 | Award Process: | Authority Contract - Non-Competitive Bid |
| Procurement Description: | Financial Printer | Award Date: | 03/12/2013 |
| | | Begin Date: | 03/12/2013 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$6,776.8 | End Date: | 03/11/2014 |
| Amount Expended for Life to Date: | \$6,776.8 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | \$50,000 |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 1 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | COMPLETED |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 55 Water Street | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10041 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|----------------|---|--------------------------------------|
| 64. Vendor Name: | Raymond James | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0158 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$14,549 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$23,014 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | One Penn Plaza | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10119 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|---------------------------------|---|--------------------------------------|
| 65. Vendor Name: | Rice Financial Products Company | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0145 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$394,905 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$442,111.24 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 17 State Street | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10004 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|-----------------------------------|---|--------------------------------------|
| 66. Vendor Name: | Rockfleet Financial Services Inc. | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0157 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$2,178 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$2,178 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 515 Madison Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10022 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

| | | | |
|--|--------------------|---|--------------------------------------|
| 67. Vendor Name: | Roosevelt & Cross | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0140 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$17,077 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$24,792 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | One Exchange Place | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10006 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

| | | | |
|--|----------------------------|---|--------------------------------------|
| 68. Vendor Name: | SS&C Technologies, Inc. | Type of Procurement: | Technology - Software |
| Transaction Number: | C-201114 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Bond Structuring Software | Award Date: | 09/16/2011 |
| | | Begin Date: | 09/16/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$29,505 | End Date: | 09/15/2016 |
| Amount Expended for Life to Date: | \$84,367 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 1 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | No |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 477 Madison Ave, 3rd Floor | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10022 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

| | | | |
|--|----------------------------|---|--------------------------------------|
| 69. Vendor Name: | Samuel A. Ramirez & Co LLC | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0126 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$31,968 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$510,056.91 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 61 Broadway | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10006 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|---|---|
| <p>70. Vendor Name: Schroder Joseph & Associates LLP</p> <p>Transaction Number: C-201110</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$32,214.69</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 766 Ellicot Street</p> <p>Address Line2:</p> <p>City: BUFFALO</p> <p>State: NY</p> <p>Postal Code: 14203</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2011</p> <p>Begin Date: 09/12/2011</p> <p>Renewal Date:</p> <p>End Date: 09/11/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 7</p> |
|---|---|

Procurement Transactions Listing:

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|--|----------------------------------|---|--------------------------------------|
| 71. Vendor Name: | Siebert Brandford Shank & Co LLC | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0128 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$53,603 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$107,317 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 100 Wall Street | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10005 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

| | | | |
|--|--------------------------|---|--------------------------------------|
| 72. Vendor Name: | Squire Sanders & Dempsey | Type of Procurement: | Legal Services |
| Transaction Number: | C-201111 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Legal Advisory | Award Date: | 09/12/2011 |
| | | Begin Date: | 09/12/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$47,794.25 | End Date: | 09/11/2014 |
| Amount Expended for Life to Date: | \$146,862.75 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 7 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 30 Rockefeller Plaza | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10112 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|---|---|
| <p>73. Vendor Name: Standard & Poor's</p> <p>Transaction Number: EFC-0025</p> <p>Procurement Description: Bond Rating Services</p> <p>Amount Expended for Fiscal Year: \$123,515</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 55 Water Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10014</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p> |
|---|---|

Procurement Transactions Listing:

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|--|--|
| <p>74. Vendor Name: Standard & Poor's Bloomberg Access</p> <p>Transaction Number: EFC-0094</p> <p>Procurement Description: Bloomberg Access</p> <p>Amount Expended for Fiscal Year: \$6,000</p> <p>Amount Expended for Life to Date: \$14,500</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 0</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 55 Water Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10014</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Technology - Software</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 10/31/2011</p> <p>Begin Date: 10/31/2011</p> <p>Renewal Date:</p> <p>End Date: 10/30/2014</p> <p>Amount: \$0</p> <p>Fair Market value: \$18,000</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms: 0</p> |
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Procurement Transactions Listing:

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|--|---|---|--|
| 75. Vendor Name: | Standard & Poor's Securites Evaluations | Type of Procurement: | Technology - Software |
| Transaction Number: | EFC-0093 | Award Process: | Authority Contract - Non-Competitive Bid |
| Procurement Description: | Securities Evaluations | Award Date: | 08/31/2011 |
| | | Begin Date: | 08/31/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$9,172 | End Date: | 08/30/2014 |
| Amount Expended for Life to Date: | \$22,930 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | \$18,000 |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 0 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | No |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 55 Water Street | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10014 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

| | | | |
|--|----------------------------------|---|--|
| 76. Vendor Name: | Standard & Poor's/Ratings Direct | Type of Procurement: | Technology - Software |
| Transaction Number: | EFC-0103 | Award Process: | Authority Contract - Non-Competitive Bid |
| Procurement Description: | Ratings & Research | Award Date: | 08/31/2011 |
| | | Begin Date: | 08/31/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$15,000 | End Date: | 08/30/2014 |
| Amount Expended for Life to Date: | \$34,600 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | \$35,000 |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 0 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | No |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 55 Water Street | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10014 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|-----------------------------------|---|--|
| 77. Vendor Name: | Standard & Poor's/Ratings Express | Type of Procurement: | Technology - Software |
| Transaction Number: | EFC-0100 | Award Process: | Authority Contract - Non-Competitive Bid |
| Procurement Description: | Ratings & Research | Award Date: | 08/31/2011 |
| | | Begin Date: | 08/31/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$15,000 | End Date: | 08/30/2014 |
| Amount Expended for Life to Date: | \$41,400 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | \$40,000 |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 0 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | No |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 0 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 55 Water Street | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10014 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|-----------------|---|--------------------------------------|
| 78. Vendor Name: | Stifel Nicolaus | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0154 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$33,658 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$33,658 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 237 Park Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10017 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|--|
| <p>79. Vendor Name: Summit Financial Printing</p> <p>Transaction Number: C-201401</p> <p>Procurement Description: Financial Printer</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$0</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 1</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 216 East 45th Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10017</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 03/10/2014</p> <p>Begin Date: 03/10/2014</p> <p>Renewal Date:</p> <p>End Date: 03/10/2017</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 0</p> |
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Procurement Transactions Listing:

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| <p>80. Vendor Name: The PFM Group</p> <p>Transaction Number: C-201302</p> <p>Procurement Description: Financial Advisory Services</p> <p>Amount Expended for Fiscal Year: \$431,688.19</p> <p>Amount Expended for Life to Date: \$431,688.19</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 2</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 530 Oak Court Drive</p> <p>Address Line2:</p> <p>City: MEMPHIS</p> <p>State: TN</p> <p>Postal Code: 38117</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 01/01/2013</p> <p>Begin Date: 01/01/2013</p> <p>Renewal Date:</p> <p>End Date: 12/31/2015</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 0</p> |
|--|---|

Procurement Transactions Listing:

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|--|------------------------------|---|--------------------------------|
| 81. Vendor Name: | The Remi Group | Type of Procurement: | Other |
| Transaction Number: | PS65207 | Award Process: | Purchased Under State Contract |
| Procurement Description: | Equipment Insurance | Award Date: | |
| | | Begin Date: | |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$13,319.49 | End Date: | |
| Amount Expended for Life to Date: | | Amount: | |
| Does the contract have an end Date: | | Fair Market value: | |
| Current or Outstanding Balance: | | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | Foreign | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | |
| Exemption from the publication requirements of Article 4c of the economic-development law? | | Number of bids and proposal received from MWBE firms: | |
| If Yes, basis for exemption: | | | |
| Address Line1: | 11325 No. Community House Rd | | |
| Address Line2: | | | |
| City: | CHARLOTTE | | |
| State: | NC | | |
| Postal Code: | 28277 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|--|--------------------------------|---|--------------------------------------|
| 82. Vendor Name: | The Williams Capital Group, LP | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0141 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$4,445 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$28,580.13 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | Yes | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 650 Fifth Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10019 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|---|---|
| <p>83. Vendor Name: Thomson Reuters Global Markets Inc.</p> <p>Transaction Number: EFC-0095</p> <p>Procurement Description: Online Research</p> <p>Amount Expended for Fiscal Year: \$6,810</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 22 Thomson Place</p> <p>Address Line2:</p> <p>City: BOSTON</p> <p>State: MA</p> <p>Postal Code: 02210</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p> |
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Procurement Transactions Listing:

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|--|--------------------------|---|--------------------------------------|
| 84. Vendor Name: | Trespasz & Marquardt LLP | Type of Procurement: | Legal Services |
| Transaction Number: | C-201117 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Legal Advisory | Award Date: | 09/12/2011 |
| | | Begin Date: | 09/12/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$5,760 | End Date: | 09/11/2014 |
| Amount Expended for Life to Date: | \$19,120 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 21 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 7 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 251 West Fayette Street | | |
| Address Line2: | | | |
| City: | SYRACUSE | | |
| State: | NY | | |
| Postal Code: | 13202 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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|---|---|
| <p>85. Vendor Name: Wage Works</p> <p>Transaction Number: EFC-0159</p> <p>Procurement Description: NYS Flex Spending Account</p> <p>Amount Expended for Fiscal Year: \$1,055.6</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 3101 Sessions Road</p> <p>Address Line2:</p> <p>City: TALLAHASSEE</p> <p>State: FL</p> <p>Postal Code: 32303</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Other Professional Services</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p> |
|---|---|

Procurement Transactions Listing:

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|--|----------------------|---|--------------------------------------|
| 86. Vendor Name: | Wells Fargo Bank, NA | Type of Procurement: | Financial Services |
| Transaction Number: | EFC-0155 | Award Process: | Authority Contract - Competitive Bid |
| Procurement Description: | Underwriter | Award Date: | 12/06/2011 |
| | | Begin Date: | 12/06/2011 |
| | | Renewal Date: | |
| Amount Expended for Fiscal Year: | \$111,916 | End Date: | 12/05/2014 |
| Amount Expended for Life to Date: | \$111,916 | Amount: | \$0 |
| Does the contract have an end Date: | Yes | Fair Market value: | |
| Current or Outstanding Balance: | \$0 | Explain why the fair market value is less than the contract amount: | |
| Number Of Bids Or Proposals Received Prior to Award of Contract: | 31 | | |
| Is the Vendor a NYS or Foreign Business Enterprise? | NYS | Status: | OPEN |
| Is the Vendor a Minority or Woman-Owned Business Enterprise? | No | were MWBE firms solicited as part of this procurement proces | Yes |
| Exemption from the publication requirements of Article 4c of the economic-development law? | No | Number of bids and proposal received from MWBE firms: | 14 |
| If Yes, basis for exemption: | | | |
| Address Line1: | 375 Park Ave | | |
| Address Line2: | | | |
| City: | NEW YORK | | |
| State: | NY | | |
| Postal Code: | 10152 | | |
| Plus 4: | | | |
| Province Region: | | | |
| Country: | USA | | |

Procurement Transactions Listing:

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| <p>87. Vendor Name: West (Thomson Reuters)</p> <p>Transaction Number: EFC-0143</p> <p>Procurement Description: Law Access</p> <p>Amount Expended for Fiscal Year: \$6,992.6</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 22 Thomson Place</p> <p>Address Line2:</p> <p>City: BOSTON</p> <p>State: MA</p> <p>Postal Code: 02210</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Legal Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p> |
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Procurement Transactions Listing:

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| <p>88. Vendor Name: Winston Strawn</p> <p>Transaction Number: C-201107</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$0</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 200 Park Ave</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10166</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> | <p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2011</p> <p>Begin Date: 09/12/2011</p> <p>Renewal Date:</p> <p>End Date: 09/11/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 7</p> |
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Additional Comments: