Procurement Report for Chautauqua Tobacco Asset Securitization Corporation **Run Date: 06/27/2016**

Fiscal Year Ending: 12/31/2015 **Status: CERTIFIED**

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	https://ny-chautauquacounty.civicplus.com/504/CTASC
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

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Procurement Transactions Listing:

1.

Vendor Name:	BLX
Type of Procurement:	Financial Services
Award Process:	Non Contract Procurement/Purchase Order
Award Date:	
End Date:	
Amount:	
Amount Expended for Fiscal Year:	$17,000
Fair market value:	
Explain why the fair market value is less than the amount:	
Address Line1:	777 South Figueroa St
Address Line2:	
City:	LOS ANGELES
State:	CA
Postal Code:	90017
Plus 4:	
Province Region:	
Country:	USA
Procurement Description:	Arbitrage Calculations

2.

Vendor Name:	Chautauqua County Finance Department
Type of Procurement:	Financial Services
Award Process:	Non Contract Procurement/Purchase Order
Award Date:	
End Date:	
Amount:	
Amount Expended for Fiscal Year:	$5,000
Fair market value:	
Explain why the fair market value is less than the amount:	
Address Line1:	3 N. Erie St
Address Line2:	
City:	MAYVILLE
State:	NY
Postal Code:	14757
Plus 4:	
Province Region:	
Country:	USA
Procurement Description:	Financial Assistance

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Procurement Transactions Listing:

3. Vendor Name: Chautauqua County Law Department

Type of Procurement: Legal Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: $13,135
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 3 N. Erie St
Address Line2:
City: MAYVILLE
State: NY
Postal Code: 14757
Plus 4:
Province Region:
Country: USA

Procurement Description: Legal Services

4. Vendor Name: Johnson Mackowiak and Associates LLP

Type of Procurement: Financial Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: $8,450
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 70 E Main St
Address Line2:
City: FREDONIA
State: NY
Postal Code: 14063
Plus 4:
Province Region:
Country: USA

Procurement Description: Annual Audit

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Procurement Transactions Listing:

Additional Comments: