

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www2.erie.gov/etasc/index.php?q=financial-information
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name:	Bank of NY Mellon	2. Vendor Name:	Barclay Damon
Type of Procurement:	Financial Services	Type of Procurement:	Legal Services
Award Process:	Authority Contract - Non-Competitive Bid	Award Process:	Authority Contract - Non-Competitive Bid
Award Date:	10/01/2001	Award Date:	01/01/2006
End Date:		End Date:	
Amount:	\$13,340	Amount:	\$31,531
Amount Expended for Fiscal Year:	\$13,340	Amount Expended for Fiscal Year:	\$31,531
Fair market value:		Fair market value:	
Explain why the fair market value is less than the amount:		Explain why the fair market value is less than the amount:	
Address Line1:	Bank of NY Mellon Corporate Trust De	Address Line1:	The Avant Building, Ste 1200
Address Line2:	PO Box 392013	Address Line2:	200 Delaware Avenue
City:	PITTSBURGH	City:	BUFFALO
State:	PA	State:	NY
Postal Code:	15251	Postal Code:	14202
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Administrative Trustee Fees	Procurement Description:	Legal Counsel

Procurement Transactions Listing:

3. Vendor Name: Drescher & Malecki LLP

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/22/2012
 End Date: 01/01/2017
 Amount: \$19,850
 Amount Expended for Fiscal Year: \$19,850
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: Drescher & Malecki LLP
 Address Line2: PO Box 408
 City: LANCASTER
 State: NY
 Postal Code: 14086
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Outside Auditing Firm

4. Vendor Name: Fitch Ratings

Type of Procurement: Financial Services
 Award Process: Non Contract Procurement/Purchase Order
 Award Date:
 End Date:
 Amount:
 Amount Expended for Fiscal Year: \$10,000
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: Fitch, Inc.
 Address Line2: PO Box 26858
 City: NEW YORK
 State: NY
 Postal Code: 10087
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Recurring rating surveillance

Procurement Transactions Listing:

5. Vendor Name: Lawley Agency Insurance

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/01/2001
End Date:
Amount: \$20,610
Amount Expended for Fiscal Year: \$20,610
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: Lawley Insurance Service
Address Line2: 361 Delaware Avenue
City: BUFFALO
State: NY
Postal Code: 14202
Plus 4:
Province Region:
Country: USA

Procurement Description: Director and Officers Liability Insurance

6. Vendor Name: Lumsden & McCormick LLP

Type of Procurement: Financial Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 10/01/2001
End Date:
Amount: \$9,350
Amount Expended for Fiscal Year: \$9,350
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: Lumsden & McCormick LLP
Address Line2: 369 Franklin Street
City: BUFFALO
State: NY
Postal Code: 14202
Plus 4:
Province Region:
Country: USA

Procurement Description: Independent Accountant

Procurement Transactions Listing:

<p>7. Vendor Name: Standard & Poor's</p> <p>Type of Procurement: Financial Services Award Process: Non Contract Procurement/Purchase Order Award Date: End Date: Amount: Amount Expended for Fiscal Year: \$10,000 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: Standard & Poor's Address Line2: 2542 Collection Center Drive City: CHICAGO State: IL Postal Code: 60695 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Annual Surveillance Rating Fee</p>	<p>8. Vendor Name: Wilmington Trust</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Non-Competitive Bid Award Date: 01/01/2006 End Date: Amount: \$9,800 Amount Expended for Fiscal Year: \$9,800 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: Wilmington Trust Company Fees & Paym Address Line2: PO Box 8955 City: WILMINGTON State: DE Postal Code: 19899 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Trustee</p>
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Procurement Report for Erie Tobacco Asset Securitization Corporation

Run Date: 08/09/2016

Fiscal Year Ending: 12/31/2015

Status: CERTIFIED

Procurement Transactions Listing:

Additional Comments: