

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www.nassaucountyny.gov/542/Tobacco-Settlement-Corporation">http://www.nassaucountyny.gov/542/Tobacco-Settlement-Corporation</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	No	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

Procurement Transactions Listing:

<p>1. Vendor Name: AVZ</p> <p>Type of Procurement: Financial Services  Award Process: Authority Contract - Competitive Bid  Award Date: 01/01/2014  End Date: 12/31/2017  Amount: \$30,000  Amount Expended for Fiscal Year: \$28,196  Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p>  <p>Address Line1: 25 Suffolk Court  Address Line2:  City: HAUPPAUGE  State: NY  Postal Code: 11788  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: Preparation of financial statements, management's Discussion and Analysis, assisting Public Authorities Reporting Informaiton System</p>	<p>2. Vendor Name: Arthur Gallagher Management Services, INC</p> <p>Type of Procurement: Other  Award Process: Authority Contract - Competitive Bid  Award Date: 09/27/2015  End Date:  Amount: \$8,544  Amount Expended for Fiscal Year: \$8,544  Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p>  <p>Address Line1: 377 Oak Street  Address Line2:  City: GARDEN CITY  State: NY  Postal Code: 11530  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: Insurance Premuim</p>
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Procurement Transactions Listing:

3. Vendor Name: Deutche Bank

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 06/01/2006  
End Date:  
Amount: \$7,500  
Amount Expended for Fiscal Year: \$7,500  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: P. O. Box 1757  
Address Line2: Church Street Stattion  
City: NEW YORK  
State: NY  
Postal Code: 10008  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Trust Administration fee

4. Vendor Name: Fitch Ratings

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$10,000  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: One State Street Plaza  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10004  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Rating Surveillance

**Procurement Transactions Listing:**

5. Vendor Name: McGladrey

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 01/02/2015  
End Date:  
Amount: \$32,000  
Amount Expended for Fiscal Year: \$32,000  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 1185 Avenue of the America  
Address Line2: 6th Fl  
City: NEW YORK  
State: NY  
Postal Code: 10036  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: 2014 Auditing fee Nassau County conducted a competitive procurement process that the Corporation utilized.

6. Vendor Name: Orrick BLX

Type of Procurement: Legal Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 04/04/2003  
End Date:  
Amount: \$23,000  
Amount Expended for Fiscal Year: \$23,000  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 51 WEST 52ND STREET  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10019  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Annual distribution disclosure and reporting service. Nassau County conducted a competitive procurement process that the Corporation utilized.

Procurement Transactions Listing:

7. Vendor Name: SS&C Technologies, Inc.

Type of Procurement: Other Professional Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$5,500  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: PO Box 416973  
Address Line2:  
City: BOSTON  
State: MA  
Postal Code: 02241  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Software

8. Vendor Name: Standard & Poor's

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$10,000  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 55 Water Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10041  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Rating Surveillance

**Procurement Transactions Listing:****Additional Comments:**

ProcurementInformation (page 1 of 6)With respect to each of the questions regarding procurement, the Corporation follows the procurement policy of Nassau County, which the board has adopted as the procurement policy of the Corporation. The Corporation is not subject to N.Y. State Finance Law Section 139j (Restrictions on contacts during the procurement process) or N.Y. State Public Authorities Law 2879 (Procurement contracts).