

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials">http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: CAPITAL MARKETS ADVISORS LLC

Type of Procurement: Consulting Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$5,500  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 4211 N BUFFALO ROAD STE 19  
Address Line2:  
City: ORCHARD PARK  
State: NY  
Postal Code: 14127  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: AUTHADM SV ENG TECH

2. Vendor Name: CITIGROUP GLOBAL MARKETS INC

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$40,657.51  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 390 GREENWICH STREET 2ND FLOOR  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10013  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: 5301 REMKTG AGENT FEES 08 SWAP

Procurement Transactions Listing:

3. Vendor Name: DRESCHER & MALECKI LLP

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 07/22/2014  
End Date:  
Amount: \$4,250  
Amount Expended for Fiscal Year: \$4,250  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 3083 WILLIAM STREET SUITE 5  
Address Line2:  
City: CHEEKTOWAGA  
State: NY  
Postal Code: 14227  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: AUDITING SERVICES

4. Vendor Name: INTEGRITY PUBLIC FINANCE CONSULTING

Type of Procurement: Consulting Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$24,750  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 101 NORTH MONROE STREET  
Address Line2: SUITE 900  
City: TALLAHASSEE  
State: FL  
Postal Code: 32301  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: AUTHADM SV ENG TECH

Procurement Transactions Listing:

<p>5. Vendor Name: M &amp; T BANK</p> <p>Type of Procurement: Financial Services  Award Process: Non Contract Procurement/Purchase Order  Award Date:  End Date:  Amount:  Amount Expended for Fiscal Year: \$23,220  Fair market value:    Explain why the fair market value is less than the amount:</p> <p>Address Line1: 1 M &amp; T PLAZA 7TH FLOOR  Address Line2: CORPORATE TRUST DEPARTMENT  City: BUFFALO  State: NY  Postal Code: 14203  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: WATER AUTH FISCAL AGENT</p>	<p>6. Vendor Name: STANDARD &amp; POORS FINANCIAL SERVICES LLC</p> <p>Type of Procurement: Financial Services  Award Process: Non Contract Procurement/Purchase Order  Award Date:  End Date:  Amount:  Amount Expended for Fiscal Year: \$7,000  Fair market value:    Explain why the fair market value is less than the amount:</p> <p>Address Line1: 55 WATER STREET  Address Line2:  City: NEW YORK  State: NY  Postal Code: 10041  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: WATER AUTH FISCAL AGENT</p>
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Procurement Transactions Listing:

7. Vendor Name: U.S. BANK NATIONAL ASSOCIATION

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$11,550  
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 100 WALL ST STE 1600  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10005  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: WATER AUTH FISCAL AGENT

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Procurement Transactions Listing:

Additional Comments: