

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www.nyc.gov/html/nyw/pdf/nyw_procurement_policy_10_10_12.pdf">http://www.nyc.gov/html/nyw/pdf/nyw_procurement_policy_10_10_12.pdf</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	No	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: Aecom Water Technology Corp.

2. Vendor Name: Amawalk Consulting Group LLC

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 07/01/2014  
 End Date: 06/30/2019  
 Amount: \$103,462  
 Amount Expended for Fiscal Year: \$103,462  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 605 Third Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10158  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Consulting Engineer

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/11/2014  
 End Date: 09/10/2016  
 Amount: \$189,747  
 Amount Expended for Fiscal Year: \$189,747  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 26 Broadway  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10004  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Rate Consultant

Procurement Transactions Listing:

3. Vendor Name: BLX Group LLC

Type of Procurement: Other  
Award Process: Authority Contract - Competitive Bid  
Award Date: 07/01/2015  
End Date: 06/30/2020  
Amount: \$86,198  
Amount Expended for Fiscal Year: \$172,396  
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 51 West 52nd Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10019  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Arbitrage rebate

4. Vendor Name: BMO Capital Markets GKST Inc.

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 12/20/2012  
End Date:  
Amount: \$95,783  
Amount Expended for Fiscal Year: \$95,783  
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 360 Madison Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Procurement Transactions Listing:

5. Vendor Name: Bank of America N.A.

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 03/19/2008  
End Date: 10/22/2019  
Amount: \$2,854,557  
Amount Expended for Fiscal Year: \$2,854,557

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: PO Box 660576  
Address Line2:  
City: DALLAS  
State: TX  
Postal Code: 75266  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Liquidity Provider

6. Vendor Name: Bank of Montreal

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 12/20/2012  
End Date: 09/16/2016  
Amount: \$423,755  
Amount Expended for Fiscal Year: \$423,755

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 360 Madison Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

7. Vendor Name: Bank of New York Mellon

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/02/2008  
End Date:  
Amount: \$374,247  
Amount Expended for Fiscal Year: \$374,247  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 1 Wall St  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10005  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Trustee

8. Vendor Name: Bank of Nova Scotia

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 11/30/2006  
End Date: 11/01/2016  
Amount: \$269,081  
Amount Expended for Fiscal Year: \$269,081  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10008  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

<p>9. Vendor Name: Barclays Bank PLC</p> <p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/16/2009</p> <p>End Date: 12/14/2018</p> <p>Amount: \$1,305,494</p> <p>Amount Expended for Fiscal Year: \$1,305,494</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 745 7th Avenue</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10022</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Liquidity Provider</p>	<p>10. Vendor Name: Barclays Capital Inc.</p> <p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/15/2009</p> <p>End Date:</p> <p>Amount: \$1,733,834</p> <p>Amount Expended for Fiscal Year: \$1,733,834</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 745 7th Avenue</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10022</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Underwriters</p>
---	---

Procurement Transactions Listing:

11. Vendor Name: Bayern LB

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/06/2003  
End Date: 10/30/2015  
Amount: \$223,507  
Amount Expended for Fiscal Year: \$223,507  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 560 Lexington  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

12. Vendor Name: Cabrera Capital Markets

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 12/20/2012  
End Date:  
Amount: \$41,385  
Amount Expended for Fiscal Year: \$41,385  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 650 5th Ave  
Address Line2: #21  
City: NEW YORK  
State: NY  
Postal Code: 10019  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Procurement Transactions Listing:

13. Vendor Name: Castleoak

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 12/20/2012  
End Date:  
Amount: \$25,910  
Amount Expended for Fiscal Year: \$25,910  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 110 E 59th  
Address Line2: #5  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

14. Vendor Name: Citigroup Global Markets

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/02/2008  
End Date:  
Amount: \$1,928,260  
Amount Expended for Fiscal Year: \$1,928,260  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 390 Greenwich St.  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10013  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Procurement Transactions Listing:

15. Vendor Name: Deloitte & Touche LLP

Type of Procurement: Other

Award Process: Authority Contract - Competitive Bid

Award Date: 06/13/2014

End Date: 06/12/2016

Amount: \$150,000

Amount Expended for Fiscal Year: \$150,000

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 2 World Financial Center

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10007

Plus 4:

Province Region:

Country: USA

Procurement Description: Auditors

16. Vendor Name: Dexia Credit Locale

Type of Procurement: Financial Services

Award Process: Authority Contract - Competitive Bid

Award Date: 10/27/2005

End Date: 10/27/2017

Amount: \$296,565

Amount Expended for Fiscal Year: \$296,565

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 445 Park Avenue

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10022

Plus 4:

Province Region:

Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

17. Vendor Name: Drexel Hamilton LLC

18. Vendor Name: Fidelity Capital Markets

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 11/10/2011  
 End Date: 06/30/2015  
 Amount: \$100,000  
 Amount Expended for Fiscal Year: \$100,000  
 Fair market value:

Explain why the fair market value is less than the amount:

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/15/2009  
 End Date:  
 Amount: \$124,826  
 Amount Expended for Fiscal Year: \$124,826  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 40 Wall Street  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10005  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Pricing Advisor

Address Line1: 61 Broadway New York  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10006  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

19. Vendor Name: Goldman Sachs & Co.

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/02/2008  
End Date:  
Amount: \$659,317  
Amount Expended for Fiscal Year: \$659,317  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 85 Broad Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10004  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

20. Vendor Name: JPMorgan Chase Bank N.A.

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/15/2001  
End Date: 04/11/2019  
Amount: \$3,457,001  
Amount Expended for Fiscal Year: \$3,457,001  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

<p>21. Vendor Name: JPMorgan Securities Inc.</p> <p>Type of Procurement: Financial Services  Award Process: Authority Contract - Competitive Bid  Award Date: 02/02/2008  End Date:  Amount: \$1,180,164  Amount Expended for Fiscal Year: \$1,180,164  Fair market value:  Explain why the fair market value is less than the amount:</p> <p>Address Line1: 270 Park Avenue  Address Line2:  City: NEW YORK  State: NY  Postal Code: 10017  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: Underwriters</p>	<p>22. Vendor Name: Jefferies &amp; Company</p> <p>Type of Procurement: Financial Services  Award Process: Authority Contract - Competitive Bid  Award Date: 09/15/2009  End Date:  Amount: \$254,335  Amount Expended for Fiscal Year: \$254,335  Fair market value:  Explain why the fair market value is less than the amount:</p> <p>Address Line1: 520 Madison Avenue  Address Line2:  City: NEW YORK  State: NY  Postal Code: 10022  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: Underwriters</p>
---	---

Procurement Transactions Listing:

23. Vendor Name: Jeffries LLC

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 12/20/2012  
 End Date:  
 Amount: \$39,671  
 Amount Expended for Fiscal Year: \$39,671  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 520 Madison Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10022  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Underwriters

24. Vendor Name: Landesbank (Helaba)

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 08/07/2008  
 End Date: 08/05/2016  
 Amount: \$1,380,773  
 Amount Expended for Fiscal Year: \$1,380,773  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 420 Fifth Avenue  
 Address Line2: 24th fl  
 City: NEW YORK  
 State: NY  
 Postal Code: 10018  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

25. Vendor Name: Lebenthal and Co. LLC

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/15/2009  
End Date:  
Amount: \$34,513  
Amount Expended for Fiscal Year: \$34,513  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 521 Fifth Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10175  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

26. Vendor Name: Loop Capital Markets LLC

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/02/2008  
End Date:  
Amount: \$63,120  
Amount Expended for Fiscal Year: \$63,120  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 88 Pine Street  
Address Line2: 24th fl  
City: NEW YORK  
State: NY  
Postal Code: 10005  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Procurement Transactions Listing:

27. Vendor Name: McGladrey LLP

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 05/01/2015  
 End Date: 04/30/2017  
 Amount: \$1,853  
 Amount Expended for Fiscal Year: \$1,853  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1185 Avenue of the Americas  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10036  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Computer Consultant

28. Vendor Name: Merrill Lynch PF&S Inc.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 02/02/2008  
 End Date:  
 Amount: \$2,035,052  
 Amount Expended for Fiscal Year: \$2,035,052  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 4 World Financial Ctr  
 Address Line2: north  
 City: NEW YORK  
 State: NY  
 Postal Code: 10080  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

29. Vendor Name: Mizuho Coporate Bank Ltd.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/29/2011  
 End Date: 08/19/2019  
 Amount: \$3,059,284  
 Amount Expended for Fiscal Year: \$3,059,284  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1251 6th Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10012  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

30. Vendor Name: Morgan Stanley & Co.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 02/02/2008  
 End Date:  
 Amount: \$492,927  
 Amount Expended for Fiscal Year: \$492,927  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1221 Avenue of the Americas  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10020  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

Procurement Transactions Listing:

31. Vendor Name: Nixon Peabody LLP

32. Vendor Name: Northern Trust

Type of Procurement: Other  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 01/01/2015  
 End Date: 12/31/2015  
 Amount: \$160,000  
 Amount Expended for Fiscal Year: \$160,000  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 437 Madison Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10022  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Bond Counsel Disclosure

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 12/20/2012  
 End Date:  
 Amount: \$3,108  
 Amount Expended for Fiscal Year: \$3,108  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 40 W 57th S  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10019  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

Procurement Transactions Listing:

33. Vendor Name: Orrick Herrington & Sutcliffe LLP

Type of Procurement: Other  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 07/01/2006  
 End Date: 12/31/2015  
 Amount: \$1,071,236  
 Amount Expended for Fiscal Year: \$1,071,236  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 666 5th Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10019  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Bond Counsel

34. Vendor Name: PNC Bank National Association

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 10/01/2012  
 End Date: 10/02/2018  
 Amount: \$357,924  
 Amount Expended for Fiscal Year: \$357,924  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 340 Madison Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10017  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

35. Vendor Name: PNC Capital Markets LLC

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/15/2009  
End Date:  
Amount: \$40,000  
Amount Expended for Fiscal Year: \$40,000  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 340 Madison Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

36. Vendor Name: RBC Capital Markets

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/02/2008  
End Date:  
Amount: \$2,377,184  
Amount Expended for Fiscal Year: \$2,377,184  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza 165 Broadway  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10006  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Procurement Transactions Listing:

37. Vendor Name: RR Donnelly

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 12/31/2012  
 End Date:  
 Amount: \$142,563  
 Amount Expended for Fiscal Year: \$142,563  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 255 Greenwich #B  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10007  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Printer for OS

38. Vendor Name: Raftelis Financial Consultants

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/11/2014  
 End Date: 09/12/2015  
 Amount: \$10,675  
 Amount Expended for Fiscal Year: \$10,675  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 201 S. Lake Avenue  
 Address Line2:  
 City: PASADENA  
 State: CA  
 Postal Code: 91101  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Rate Consultant

Procurement Transactions Listing:

39. Vendor Name: Ramirez & Co.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/15/2009  
 End Date:  
 Amount: \$1,153,883  
 Amount Expended for Fiscal Year: \$1,153,883  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 61 Broadway  
 Address Line2: New York  
 City: NEW YORK  
 State: NY  
 Postal Code: 10006  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

40. Vendor Name: Raymond James & Associates Inc.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 02/02/2008  
 End Date:  
 Amount: \$1,396,609  
 Amount Expended for Fiscal Year: \$1,396,609  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 250 Park Avenue  
 Address Line2: 2nd fl  
 City: NEW YORK  
 State: NY  
 Postal Code: 10177  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

Procurement Transactions Listing:

41. Vendor Name: Reitdesign Inc.

Type of Procurement: Other  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 10/01/2015  
 End Date: 02/26/2016  
 Amount: \$15,313  
 Amount Expended for Fiscal Year: \$15,313  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 11 Park Pl  
 Address Line2: 916  
 City: NEW YORK  
 State: NY  
 Postal Code: 10007  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: CAFR Designer

42. Vendor Name: Rice Financial Products Company

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/15/2009  
 End Date:  
 Amount: \$677,075  
 Amount Expended for Fiscal Year: \$677,075  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 17 State Street  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10004  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

Procurement Transactions Listing:

43. Vendor Name: Roosevelt and Cross Incorporated

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 02/02/2008  
 End Date:  
 Amount: \$126,401  
 Amount Expended for Fiscal Year: \$126,401  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: One Exchange Plaza  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10006  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

44. Vendor Name: Royal Bank of Canada

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 03/01/2011  
 End Date: 08/19/2018  
 Amount: \$3,251,992  
 Amount Expended for Fiscal Year: \$3,251,992  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 12 East 49th Stree  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10017  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

45. Vendor Name: Siebert Brandford Shank & Co. LLC

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 02/02/2008  
 End Date:  
 Amount: \$179,798  
 Amount Expended for Fiscal Year: \$179,798  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 100 Wall Street  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10005  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Underwriters

46. Vendor Name: State Street Bank & trust Company N.A.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 10/27/2005  
 End Date: 10/27/2020  
 Amount: \$1,764,052  
 Amount Expended for Fiscal Year: \$1,764,052  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 225 Franklin Street  
 Address Line2:  
 City: BOSTON  
 State: MA  
 Postal Code: 02110  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

47. Vendor Name: Sumitomo Mitsui Banking Corp.

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 06/07/2012  
 End Date: 06/04/2020  
 Amount: \$1,910,034  
 Amount Expended for Fiscal Year: \$1,910,034  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 277 Park Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10172  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

48. Vendor Name: TD Bank NA

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 11/18/2010  
 End Date: 11/16/2018  
 Amount: \$1,166,929  
 Amount Expended for Fiscal Year: \$1,166,929  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 31 W 52nd St  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10019  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

49. Vendor Name: TD Securities

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 09/15/2009  
 End Date:  
 Amount: \$200,356  
 Amount Expended for Fiscal Year: \$200,356  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 31 W 52nd St  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10019  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

50. Vendor Name: US Bank

Type of Procurement: Financial Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 06/25/2009  
 End Date: 04/11/2018  
 Amount: \$2,632,392  
 Amount Expended for Fiscal Year: \$2,632,392  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 461 5th Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10022  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

51. Vendor Name: US Bank

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/15/2009  
End Date:  
Amount: \$582,844  
Amount Expended for Fiscal Year: \$582,844  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 461 5th Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

52. Vendor Name: Wells Fargo Bank NA

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 06/25/2009  
End Date: 07/10/2018  
Amount: \$833,871  
Amount Expended for Fiscal Year: \$833,871  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 330 Madison Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

<p>53. Vendor Name: Wells Fargo Bank NA</p> <p>Type of Procurement: Financial Services  Award Process: Authority Contract - Competitive Bid  Award Date: 09/15/2009  End Date:  Amount: \$645,947  Amount Expended for Fiscal Year: \$645,947  Fair market value:    Explain why the fair market value is less than the amount:</p>   <p>Address Line1: 330 Madison Ave  Address Line2:  City: NEW YORK  State: NY  Postal Code: 10017  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: Underwriters</p>	<p>54. Vendor Name: Wells Fargo Insurance Services of New York Inc.</p> <p>Type of Procurement: Other Professional Services  Award Process: Authority Contract - Competitive Bid  Award Date: 05/23/2014  End Date: 05/31/2015  Amount: \$191,650  Amount Expended for Fiscal Year: \$191,650  Fair market value:    Explain why the fair market value is less than the amount:</p>   <p>Address Line1: 330 Madison Ave  Address Line2:  City: NEW YORK  State: NY  Postal Code: 10017  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: D&amp;O Insurance</p>
--	---

Procurement Transactions Listing:

55. Vendor Name: Williams Capital Group L.P.

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 12/20/2012  
End Date:  
Amount: \$5,700  
Amount Expended for Fiscal Year: \$5,700  
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 650 Fifth Ave  
Address Line2: 11th Floor  
City: NEW YORK  
State: NY  
Postal Code: 10019  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Procurement Transactions Listing:

Additional Comments: