

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	www.nysirestakes.com
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	Yes	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	No	
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	No	
12. Is the Authority subject to Article 15-A of the Executive Law?	Yes	
13. Has the Authority designated a person or persons to oversee its MWBE program?	Yes	
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	Yes	
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	No	
16. Did the Authority establish a goal for participation by	Yes	

Procurement-Information:

Question	Response	URL (if applicable)
a. If yes, what was the goal (percentage of total procurements) for the reporting period?	30%	
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?	21%	

Procurement Transactions Listing:

1. Vendor Name:	A&C Photography	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Photo finish	Award Date:	08/05/2015
		Begin Date:	08/05/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$12,500	End Date:	
Amount Expended for Life to Date:	\$25,000	Amount:	\$12,500
Does the contract have an end Date:	No	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	1122 St Route 11		
Address Line2:			
City:	BRUSHTON		
State:	NY		
Postal Code:	12916		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

2. Vendor Name:	Citibank	Type of Procurement:	Other
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Office supplies, printing, promotion	Award Date:	01/01/2015
		Begin Date:	01/01/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$6,884.51	End Date:	
Amount Expended for Life to Date:	\$20,508.34	Amount:	\$6,884.51
Does the contract have an end Date:	No	Fair Market value:	\$6,884.51
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?		were MWBE firms solicited as part of this procurement proces	No
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	PO Box 183173		
Address Line2:			
City:	COLUMBUS		
State:	OH		
Postal Code:	43218		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>3. Vendor Name: Curvon Corp</p> <p>Transaction Number:</p> <p>Procurement Description: Coolers (Horse blankets)</p> <p>Amount Expended for Fiscal Year: \$19,136.87</p> <p>Amount Expended for Life to Date: \$54,034</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 0</p> <p>Is the Vendor a NYS or Foreign Business Enterprise?</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 34 Apple St</p> <p>Address Line2:</p> <p>City: TINTON FALLS</p> <p>State: NJ</p> <p>Postal Code: 07724</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 01/01/2015</p> <p>Begin Date: 12/31/2015</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$19,136.87</p> <p>Fair Market value: \$19,136.87</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

4. Vendor Name:	Embroidery Unlimited	Type of Procurement:	Commodities/Supplies
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Hats	Award Date:	03/02/2015
		Begin Date:	05/20/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$10,120	End Date:	
Amount Expended for Life to Date:	\$20,080	Amount:	\$10,120
Does the contract have an end Date:	No	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	3	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?		were MWBE firms solicited as part of this procurement proces	Yes
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	1
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	175 Boston Post Rd		
Address Line2:			
City:	MARLBOROUGH		
State:	MA		
Postal Code:	01752		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

5. Vendor Name:	Harness Horse Breeders	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Contract provides promotional and customer relations services	Award Date:	01/01/2015
		Begin Date:	12/31/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$316,600	End Date:	
Amount Expended for Life to Date:	\$606,100	Amount:	\$316,600
Does the contract have an end Date:	No	Fair Market value:	
Current or Outstanding Balance:	\$26,383.34	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	1		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	12 Axis Dr		
Address Line2:			
City:	LATHAM		
State:	NY		
Postal Code:	12110		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

6. Vendor Name:	LMN Printing	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Printing services	Award Date:	08/03/2015
		Begin Date:	08/28/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$6,100	End Date:	
Amount Expended for Life to Date:	\$12,350	Amount:	\$6,100
Does the contract have an end Date:	No	Fair Market value:	\$6,100
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:		Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	were MWBE firms solicited as part of this procurement proces	Yes
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	Number of bids and proposal received from MWBE firms:	1
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	23 West Merrick Rd		
Address Line2:			
City:	VALLEY STREAM		
State:	NY		
Postal Code:	11580		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

7. Vendor Name:	MB Publishing	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Advertising/ Ads	Award Date:	01/01/2015
		Begin Date:	01/13/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$17,700	End Date:	
Amount Expended for Life to Date:	\$25,825	Amount:	\$17,700
Does the contract have an end Date:	No	Fair Market value:	\$17,700
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?		were MWBE firms solicited as part of this procurement proces	No
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	27 Woodland Dr		
Address Line2:			
City:	FAIR HAVEN		
State:	NJ		
Postal Code:	07704		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>8. Vendor Name: Morrisville Auxillary Corp</p> <p>Transaction Number:</p> <p>Procurement Description: Horse drug testing</p> <p>Amount Expended for Fiscal Year: \$12,000</p> <p>Amount Expended for Life to Date: \$40,600</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 1</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 777 Warren Rd</p> <p>Address Line2:</p> <p>City: ITHACA</p> <p>State: NY</p> <p>Postal Code: 14850</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 01/01/2015</p> <p>Begin Date: 10/28/2015</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$12,000</p> <p>Fair Market value: \$12,000</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>9. Vendor Name: New York Drug Testing and Research</p> <p>Transaction Number:</p> <p>Procurement Description: Provides offtrack horse testing</p> <p>Amount Expended for Fiscal Year: \$100,000</p> <p>Amount Expended for Life to Date: \$100,000</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 1</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 777 Warren Rd</p> <p>Address Line2:</p> <p>City: ITHACA</p> <p>State: NY</p> <p>Postal Code: 14850</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 12/16/2015</p> <p>Begin Date: 12/16/2015</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$100,000</p> <p>Fair Market value: \$100,000</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

10. Vendor Name:	Technomosaic, LLC	Type of Procurement:	Telecommunication Equipment or Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Website services	Award Date:	01/01/2015
		Begin Date:	04/10/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$6,645	End Date:	
Amount Expended for Life to Date:	\$6,645	Amount:	\$6,645
Does the contract have an end Date:	No	Fair Market value:	\$6,645
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	2	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?		were MWBE firms solicited as part of this procurement proces	No
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	PO Box 933		
Address Line2:			
City:	SHELBYVILLE		
State:	IN		
Postal Code:	46176		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

11. Vendor Name:	Toski & Co	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Auditing Services	Award Date:	02/02/2015
		Begin Date:	06/24/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$6,000	End Date:	12/31/2015
Amount Expended for Life to Date:	\$6,000	Amount:	\$6,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	3		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	1
If Yes, basis for exemption:			
Address Line1:	6390 Main St		
Address Line2:			
City:	WILLIAMSVILLE		
State:	NY		
Postal Code:	14221		
Plus 4:			
Province Region:			
Country:	USA		

Additional Comments:

Resubmitted.