

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	Yes	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	Yes	Attachment Included
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	Yes	Attachment Included
12. Is the Authority subject to Article 15-A of the Executive Law?	Yes	
13. Has the Authority designated a person or persons to oversee its MWBE program?	Yes	
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	Yes	
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	Yes	
16. Did the Authority establish a goal for participation by	Yes	

Procurement-Information:

Question	Response	URL (if applicable)
a. If yes, what was the goal (percentage of total procurements) for the reporting period?	20%	
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?	25%	

Procurement Transactions Listing:

1. Vendor Name:	BNY Mellon Capital Markets LLC	Type of Procurement:	Financial Services
Transaction Number:	EFC-0134	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$3,000	End Date:	12/05/2014
Amount Expended for Life to Date:	\$16,050	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	32 Old Slip, 15th Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10286		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

2. Vendor Name:	Banc of America Securities	Type of Procurement:	Financial Services
Transaction Number:	EFC-0127	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$38,041.19	End Date:	12/05/2014
Amount Expended for Life to Date:	\$782,018.82	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	One Bryant Park		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10036		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

3. Vendor Name:	Bank of America Merrill Lynch	Type of Procurement:	Financial Services
Transaction Number:	EFC-0169	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	01/15/2015
		Begin Date:	01/15/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	01/14/2018
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	32		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	One Bryant Park		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10036		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>4. Vendor Name: Bank of New York</p> <p>Transaction Number: EFC-0026</p> <p>Procurement Description: Trustee</p> <p>Amount Expended for Fiscal Year: \$28,640</p> <p>Amount Expended for Life to Date: \$474,631</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 12</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One Wall Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10286</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 02/05/1993</p> <p>Begin Date: 02/05/1993</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

5. Vendor Name:	Barclay's Capital	Type of Procurement:	Financial Services
Transaction Number:	EFC-0133	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$12,463	End Date:	12/05/2014
Amount Expended for Life to Date:	\$117,412.34	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	745 Seventh Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10019		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>6. Vendor Name: Blackbaud Fundware</p> <p>Transaction Number: EFC-0003</p> <p>Procurement Description: Software License and Support</p> <p>Amount Expended for Fiscal Year: \$3,723.63</p> <p>Amount Expended for Life to Date: \$61,049.58</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 9605 Scranton Rd</p> <p>Address Line2:</p> <p>City: SAN DIEGO</p> <p>State: CA</p> <p>Postal Code: 92121</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Software</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 08/01/1991</p> <p>Begin Date: 08/01/1991</p> <p>Renewal Date:</p> <p>End Date: 01/28/2015</p> <p>Amount: \$0</p> <p>Fair Market value: \$60,000</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>7. Vendor Name: Blackbaud Fundware</p> <p>Transaction Number: EFC-0173</p> <p>Procurement Description: Software License and Support</p> <p>Amount Expended for Fiscal Year: \$6,372.22</p> <p>Amount Expended for Life to Date: \$6,376.22</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 0</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 2000 Daniel Island Drive</p> <p>Address Line2:</p> <p>City: CHARLESTON</p> <p>State: SC</p> <p>Postal Code: 29492</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Consulting/Development or Support</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 01/28/2015</p> <p>Begin Date: 01/28/2015</p> <p>Renewal Date:</p> <p>End Date: 01/28/2016</p> <p>Amount: \$0</p> <p>Fair Market value: \$25,000</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement process: No</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

<p>8. Vendor Name: Blaylock Robert Van LLC</p> <p>Transaction Number: EFC-0146</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$4,469</p> <p>Amount Expended for Life to Date: \$6,969</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 31</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 350 Frank H. Ogawa Plaza</p> <p>Address Line2:</p> <p>City: OAKLAND</p> <p>State: CA</p> <p>Postal Code: 94612</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/06/2011</p> <p>Begin Date: 12/06/2011</p> <p>Renewal Date:</p> <p>End Date: 12/05/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p>
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Procurement Transactions Listing:

<p>9. Vendor Name: Bloomberg LP</p> <p>Transaction Number: EFC-0068</p> <p>Procurement Description: Financial Services</p> <p>Amount Expended for Fiscal Year: \$29,850</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 731 Lexington Ave</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10022</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

10. Vendor Name:	Bryant Rabbino	Type of Procurement:	Legal Services
Transaction Number:	C-201418	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2014
		Begin Date:	09/12/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2016
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	11
If Yes, basis for exemption:			
Address Line1:	1180 Avenue of the Americas		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10036		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

11. Vendor Name:	CDM Smith Inc	Type of Procurement:	Other Professional Services
Transaction Number:	C-201306	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Inspections/Outreach	Award Date:	09/18/2013
		Begin Date:	09/18/2013
		Renewal Date:	
Amount Expended for Fiscal Year:	\$136,245	End Date:	09/18/2016
Amount Expended for Life to Date:	\$136,245	Amount:	\$490,410
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$499,410	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	8		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	15 British American Blvd		
Address Line2:			
City:	LATHAM		
State:	NY		
Postal Code:	12110		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

12. Vendor Name:	CV Associates NY	Type of Procurement:	Other Professional Services
Transaction Number:	C-201307	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Inspections/Outreach	Award Date:	05/28/2013
		Begin Date:	05/28/2013
		Renewal Date:	
Amount Expended for Fiscal Year:	\$5,280	End Date:	05/27/2014
Amount Expended for Life to Date:	\$161,550	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	3		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	3
If Yes, basis for exemption:			
Address Line1:	148 Route 17M		
Address Line2:			
City:	HARRIMAN		
State:	NY		
Postal Code:	10926		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

13. Vendor Name:	Capital District Records Management	Type of Procurement:	Other
Transaction Number:	EFC-0084	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	File Storage and Retrieval	Award Date:	05/01/2010
		Begin Date:	05/01/2010
		Renewal Date:	
Amount Expended for Fiscal Year:	\$1,897.47	End Date:	
Amount Expended for Life to Date:	\$20,135.49	Amount:	\$0
Does the contract have an end Date:	No	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	3		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	PO Box 4044		
Address Line2:			
City:	CLIFTON PARK		
State:	NY		
Postal Code:	12065		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

14. Vendor Name:	Castle Oak Securities	Type of Procurement:	Financial Services
Transaction Number:	EFC-0147	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$562.5	End Date:	12/05/2014
Amount Expended for Life to Date:	\$93,722.5	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	110 East 59th St.		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

15. Vendor Name:	Checkwise Payroll	Type of Procurement:	Other Professional Services
Transaction Number:	C-201304	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Payroll Services	Award Date:	01/01/2013
		Begin Date:	01/01/2013
		Renewal Date:	
Amount Expended for Fiscal Year:	\$5,584.4	End Date:	12/31/2015
Amount Expended for Life to Date:	\$12,847.1	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	5		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	110 Wolf Road		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12205		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

16. Vendor Name:	Citigroup Global Markets	Type of Procurement:	Financial Services
Transaction Number:	EFC-0129	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	01/15/2015
		Begin Date:	01/15/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	01/14/2018
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	32		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	390 Greenwich Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10013		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

17. Vendor Name:	Citigroup Global Markets	Type of Procurement:	Financial Services
Transaction Number:	EFC-0129	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$29,496.19	End Date:	12/05/2014
Amount Expended for Life to Date:	\$958,963.07	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	388 Greenwich St, 14th Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10013		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>18. Vendor Name: Computer Technology Services</p> <p>Transaction Number: EFC-0161</p> <p>Procurement Description: 0365 Consultant</p> <p>Amount Expended for Fiscal Year: \$7,000</p> <p>Amount Expended for Life to Date: \$7,000</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 0</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 200 Great Oaks Blvd</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12203</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Consulting/Development or Su</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 09/23/2014</p> <p>Begin Date: 09/23/2014</p> <p>Renewal Date:</p> <p>End Date: 12/23/2014</p> <p>Amount: \$0</p> <p>Fair Market value: \$7,000</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

19. Vendor Name:	D. Seaton and Associates	Type of Procurement:	Legal Services
Transaction Number:	C-201419	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2014
		Begin Date:	09/12/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2016
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	11
If Yes, basis for exemption:			
Address Line1:	245 Park Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10167		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

20. Vendor Name:	D. Seaton and Associates	Type of Procurement:	Legal Services
Transaction Number:	C-201112	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Services	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2014
Amount Expended for Life to Date:	\$20,228.35	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	245 Park Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10167		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

21. Vendor Name:	Estrada Hinojosa & Company Inc	Type of Procurement:	Financial Services
Transaction Number:	EFC-0144	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$5,000	End Date:	12/05/2014
Amount Expended for Life to Date:	\$18,400.93	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	1717 Main St		
Address Line2:			
City:	DALLAS		
State:	TX		
Postal Code:	75201		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

23. Vendor Name:	Fidelity Capital Markets Services	Type of Procurement:	Financial Services
Transaction Number:	EFC-0148	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$6,245	End Date:	12/05/2014
Amount Expended for Life to Date:	\$114,133.88	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	200 Liberty St		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10281		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

24. Vendor Name:	First Southwest Company	Type of Procurement:	Financial Services
Transaction Number:	C-201301	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Financial Advisory Services	Award Date:	01/01/2013
		Begin Date:	01/01/2013
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	12/31/2015
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	2		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	750 Lexington Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>25. Vendor Name: Fitch Solutions, Inc.</p> <p>Transaction Number: EFC-0096</p> <p>Procurement Description: Public Finance via Web</p> <p>Amount Expended for Fiscal Year: \$10,925</p> <p>Amount Expended for Life to Date: \$40,875</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 0</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One State Street Plaza</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10004</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 08/01/2011</p> <p>Begin Date: 08/01/2011</p> <p>Renewal Date:</p> <p>End Date: 07/31/2015</p> <p>Amount: \$0</p> <p>Fair Market value: \$10,475</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

<p>26. Vendor Name: Fitch, Inc.</p> <p>Transaction Number: EFC-0021</p> <p>Procurement Description: Bond Rating Services</p> <p>Amount Expended for Fiscal Year: \$50,000</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One State Street Plaza</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10004</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

27. Vendor Name:	Fulbright and Jaworski LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201411	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2014
		Begin Date:	09/12/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2016
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	11
If Yes, basis for exemption:			
Address Line1:	666 Fifth Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10103		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>28. Vendor Name: Fulbright and Jaworski LLP</p> <p>Transaction Number: C-201109</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$29,548.98</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 666 Fifth Ave</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10103</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2011</p> <p>Begin Date: 09/12/2011</p> <p>Renewal Date:</p> <p>End Date: 09/11/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 7</p>
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Procurement Transactions Listing:

<p>29. Vendor Name: GHD Consulting LLC</p> <p>Transaction Number: C-200901</p> <p>Procurement Description: Watershed Consultant Engineer</p> <p>Amount Expended for Fiscal Year: \$143,161.23</p> <p>Amount Expended for Life to Date: \$207,047.98</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 9</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One Remington Park Drive</p> <p>Address Line2:</p> <p>City: CAZENOVIA</p> <p>State: NY</p> <p>Postal Code: 13035</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Consulting Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 06/10/2009</p> <p>Begin Date: 06/10/2009</p> <p>Renewal Date:</p> <p>End Date: 06/09/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

30. Vendor Name:	Garnet River LLC	Type of Procurement:	Technology - Consulting/Development or Su
Transaction Number:	C-201302	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Software App Development	Award Date:	04/26/2013
		Begin Date:	04/26/2013
		Renewal Date:	
Amount Expended for Fiscal Year:	\$167,520	End Date:	07/26/2014
Amount Expended for Life to Date:	\$585,299.65	Amount:	\$997,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	7		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	2
If Yes, basis for exemption:			
Address Line1:	60 Railroad Place		
Address Line2:			
City:	SARATOGA SPRINGS		
State:	NY		
Postal Code:	12866		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

31. Vendor Name:	George K Baum	Type of Procurement:	Financial Services
Transaction Number:	EFC-0135	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$1,844.25	End Date:	12/05/2014
Amount Expended for Life to Date:	\$16,263.26	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	584 Broadway		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10012		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

32. Vendor Name:	Gilberti Stinziano Heintz & Smith PC	Type of Procurement:	Legal Services
Transaction Number:	C-201109	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2014
Amount Expended for Life to Date:	\$159,073.06	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	555 East Genesee Street		
Address Line2:			
City:	SYRACUSE		
State:	NY		
Postal Code:	13202		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>33. Vendor Name: Gilberti Stinziano Heintz & Smith PC</p> <p>Transaction Number: C-201406</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$0</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 555 East Genesee Street</p> <p>Address Line2:</p> <p>City: SYRACUSE</p> <p>State: NY</p> <p>Postal Code: 13202</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2014</p> <p>Begin Date: 09/12/2014</p> <p>Renewal Date:</p> <p>End Date: 09/11/2016</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 11</p>
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Procurement Transactions Listing:

<p>34. Vendor Name: Golden Holley James LLP</p> <p>Transaction Number: C-201114</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$25,000</p> <p>Amount Expended for Life to Date: \$25,000</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 60 East 42nd Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10017</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2011</p> <p>Begin Date: 09/12/2011</p> <p>Renewal Date:</p> <p>End Date: 09/11/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 7</p>
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Procurement Transactions Listing:

35. Vendor Name:	Goldman Sachs & Company	Type of Procurement:	Financial Services
Transaction Number:	EFC-0132	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$15,013.75	End Date:	12/05/2014
Amount Expended for Life to Date:	\$841,015.5	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	85 Broad St, 24th Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10004		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

36. Vendor Name:	Goldman Sachs & Company	Type of Procurement:	Financial Services
Transaction Number:	EFC-0162	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	01/15/2015
		Begin Date:	01/15/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	01/14/2018
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	32		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	85 Broad St		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10004		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

37. Vendor Name:	Gonzalez Saggio & Harlan LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201412	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2014
		Begin Date:	09/12/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2016
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	11
If Yes, basis for exemption:			
Address Line1:	292 Madison Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10017		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

38. Vendor Name:	Gonzalez Saggio & Harlan LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201109	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$200	End Date:	09/11/2014
Amount Expended for Life to Date:	\$89,509.17	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	292 Madison Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10017		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

39. Vendor Name:	Graves Horton Askew & Johns LLC	Type of Procurement:	Legal Services
Transaction Number:	C-201421	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2014
		Begin Date:	09/12/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2016
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	11
If Yes, basis for exemption:			
Address Line1:	48 Wall Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10005		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>40. Vendor Name: Graves Horton Askew & Johns LLC</p> <p>Transaction Number: C-201116</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$0</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 1750 K Street</p> <p>Address Line2:</p> <p>City: WASHINGTON</p> <p>State: DC</p> <p>Postal Code: 20006</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2011</p> <p>Begin Date: 09/12/2011</p> <p>Renewal Date:</p> <p>End Date: 09/11/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 7</p>
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Procurement Transactions Listing:

41. Vendor Name:	Guggenheim Securities	Type of Procurement:	Financial Services
Transaction Number:	EFC-0149	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$8,865.44	End Date:	12/05/2014
Amount Expended for Life to Date:	\$40,283.94	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	330 Madison Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10017		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>42. Vendor Name: HRN Management Group</p> <p>Transaction Number: EFC-0073</p> <p>Procurement Description: Performance Appraisal</p> <p>Amount Expended for Fiscal Year: \$1,712</p> <p>Amount Expended for Life to Date: \$15,650.31</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 3</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 802 E. Winchester Street</p> <p>Address Line2:</p> <p>City: SALT LAKE CITY</p> <p>State: UT</p> <p>Postal Code: 84107</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Software</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 03/15/2007</p> <p>Begin Date: 03/15/2007</p> <p>Renewal Date:</p> <p>End Date: 01/31/2016</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

<p>43. Vendor Name: Hardwick Law Firm LLC</p> <p>Transaction Number: C-201415</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$0</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 1501 Broadway</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10036</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2014</p> <p>Begin Date: 09/12/2014</p> <p>Renewal Date:</p> <p>End Date: 09/11/2016</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 11</p>
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Procurement Transactions Listing:

44. Vendor Name:	Harris Beach PLLC	Type of Procurement:	Legal Services
Transaction Number:	C-201405	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2014
		Begin Date:	09/12/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2016
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	11
If Yes, basis for exemption:			
Address Line1:	677 Broadway		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12207		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

45. Vendor Name:	Harris Beach PLLC	Type of Procurement:	Legal Services
Transaction Number:	C-201105	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Services	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2014
Amount Expended for Life to Date:	\$1,420	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	677 Broadway		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12207		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>46. Vendor Name: Hawkins Delafield & Wood LLP</p> <p>Transaction Number: C-201402</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$37,458.23</p> <p>Amount Expended for Life to Date: \$37,458.23</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One Chase Manhattan Plaza</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10005</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2014</p> <p>Begin Date: 09/12/2014</p> <p>Renewal Date:</p> <p>End Date: 09/11/2016</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 11</p>
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Procurement Transactions Listing:

47. Vendor Name:	Hawkins Delafield & Wood LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201109	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$353,876.09	End Date:	09/11/2014
Amount Expended for Life to Date:	\$1,676,044.52	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	One Chase Manhattan Plaza		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10005		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

48. Vendor Name:	Hiscock & Barclay	Type of Procurement:	Legal Services
Transaction Number:	C-201409	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2014
		Begin Date:	09/12/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2016
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	11
If Yes, basis for exemption:			
Address Line1:	50 Beaver Street		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12207		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

49. Vendor Name:	Holland & Knight LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201109	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2014
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	One Chase Manhattan Plaza		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10005		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

50. Vendor Name:	Interactive Data Pricing and Reference Data, Inc.	Type of Procurement:	Financial Services
Transaction Number:	EFC-0091	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Valuation Services	Award Date:	09/20/2011
		Begin Date:	09/20/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$10,564.98	End Date:	09/19/2015
Amount Expended for Life to Date:	\$33,512.09	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	\$15,000
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	32 Crosby Drive		
Address Line2:			
City:	BEDFORD		
State:	MA		
Postal Code:	01730		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

51. Vendor Name:	Iron Mountain	Type of Procurement:	Other
Transaction Number:	EFC-0075	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	File Storage and Retrieval	Award Date:	04/01/1995
		Begin Date:	04/01/1995
		Renewal Date:	
Amount Expended for Fiscal Year:	\$8,916.86	End Date:	
Amount Expended for Life to Date:	\$132,151.71	Amount:	\$0
Does the contract have an end Date:	No	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	3 Green Mountain Drive		
Address Line2:			
City:	COHOES		
State:	NY		
Postal Code:	12047		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

52. Vendor Name:	JP Morgan	Type of Procurement:	Financial Services
Transaction Number:	EFC-0134	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$486,385.17	End Date:	12/05/2014
Amount Expended for Life to Date:	\$891,630.33	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	383 Madison Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10179		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

53. Vendor Name:	JP Morgan Securities Inc.	Type of Procurement:	Financial Services
Transaction Number:	EFC-0168	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	01/15/2015
		Begin Date:	01/15/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	01/14/2018
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	32		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	383 Madison Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10179		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

54. Vendor Name:	Janney Montgomery Scott	Type of Procurement:	Financial Services
Transaction Number:	EFC-0136	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$1,325	End Date:	12/05/2014
Amount Expended for Life to Date:	\$44,818	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	1801 Market Street		
Address Line2:			
City:	PHILADELPHIA		
State:	PA		
Postal Code:	19103		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

55. Vendor Name:	Jefferies and Company	Type of Procurement:	Financial Services
Transaction Number:	EFC-0131	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$75	End Date:	12/05/2014
Amount Expended for Life to Date:	\$82,972.75	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	677 Broadway		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12207		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

56. Vendor Name:	Jefferies and Company	Type of Procurement:	Financial Services
Transaction Number:	EFC-0166	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	01/15/2015
		Begin Date:	01/15/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	01/14/2018
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	32		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	520 Madison Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

57. Vendor Name:	KPMG LLP	Type of Procurement:	Financial Services
Transaction Number:	C-201101	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Audit Services	Award Date:	04/01/2011
		Begin Date:	04/01/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$86,039	End Date:	03/31/2016
Amount Expended for Life to Date:	\$335,670	Amount:	\$465,061
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$129,391	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	6		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	515 Broadway		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12207		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

58. Vendor Name:	KeyBanc Capital Markets	Type of Procurement:	Financial Services
Transaction Number:	EFC-0150	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$375	End Date:	12/05/2014
Amount Expended for Life to Date:	\$21,367.5	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	were MWBE firms solicited as part of this procurement proces	Yes
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	14
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	40 Rockefeller Plaza		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10014		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>59. Vendor Name: Kronos</p> <p>Transaction Number: EFC-0031</p> <p>Procurement Description: Software Maintenance</p> <p>Amount Expended for Fiscal Year: \$1,193.52</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 297 Billerica Rd</p> <p>Address Line2:</p> <p>City: CHELMSFORD</p> <p>State: MA</p> <p>Postal Code: 01824</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Software</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

<p>60. Vendor Name: Law Offices of Joseph Reid</p> <p>Transaction Number: C-201416</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$0</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 230 Park Avenue</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10169</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2014</p> <p>Begin Date: 09/12/2014</p> <p>Renewal Date:</p> <p>End Date: 09/11/2016</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 11</p>
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Procurement Transactions Listing:

61. Vendor Name:	Lebenthal & Co. LLC	Type of Procurement:	Financial Services
Transaction Number:	EFC-0137	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$3,000	End Date:	12/05/2014
Amount Expended for Life to Date:	\$92,050.43	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	521 Fifth Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10175		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

62. Vendor Name:	Legislative Retrieval System	Type of Procurement:	Other Professional Services
Transaction Number:	EFC-0098	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Database Subscription	Award Date:	10/10/2007
		Begin Date:	10/10/2007
		Renewal Date:	
Amount Expended for Fiscal Year:	\$2,250	End Date:	10/08/2015
Amount Expended for Life to Date:	\$18,000	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	55 Elk St		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12210		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>63. Vendor Name: Logic House LTD</p> <p>Transaction Number: PR65777</p> <p>Procurement Description: IT Systems Transformation</p> <p>Amount Expended for Fiscal Year: \$50,207.12</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 49950 Jefferson Street</p> <p>Address Line2:</p> <p>City: INDIO</p> <p>State: CA</p> <p>Postal Code: 92201</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Consulting/Development or Su</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

64. Vendor Name:	Loop Capital Markets	Type of Procurement:	Financial Services
Transaction Number:	EFC-0124	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$207,890.01	End Date:	12/05/2014
Amount Expended for Life to Date:	\$370,070.39	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	521 Fifth Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10175		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

65. Vendor Name:	Loop Capital Markets	Type of Procurement:	Financial Services
Transaction Number:	EFC-0172	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	01/15/2015
		Begin Date:	01/15/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	01/14/2018
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	32		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	200 West Jackson Blvd		
Address Line2:			
City:	CHICAGO		
State:	IL		
Postal Code:	60606		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

66. Vendor Name:	Love and Long LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201422	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2014
		Begin Date:	09/12/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2016
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	11
If Yes, basis for exemption:			
Address Line1:	156 West 56th Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10019		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

67. Vendor Name:	M&T Bank	Type of Procurement:	Financial Services
Transaction Number:	EFC-0027	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Trustee	Award Date:	02/05/1993
		Begin Date:	02/05/1993
		Renewal Date:	
Amount Expended for Fiscal Year:	\$274,794.61	End Date:	
Amount Expended for Life to Date:	\$5,855,144.92	Amount:	\$0
Does the contract have an end Date:	No	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	One M&T Plaza		
Address Line2:			
City:	BUFFALO		
State:	NY		
Postal Code:	14203		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

68. Vendor Name:	MR Beal & Company	Type of Procurement:	Financial Services
Transaction Number:	EFC-0130	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	12/05/2014
Amount Expended for Life to Date:	\$313,004	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	110 Wall Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10005		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>69. Vendor Name: MVP Consulting Plus, Inc.</p> <p>Transaction Number: EFC-0174</p> <p>Procurement Description: IT Consultant</p> <p>Amount Expended for Fiscal Year: \$2,247.28</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 453 New Karner Rd</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12205</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Consulting/Development or Su</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

70. Vendor Name:	Marous & Marous PC	Type of Procurement:	Legal Services
Transaction Number:	C-201118	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2014
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	1674 Broadway		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10019		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

71. Vendor Name:	Mesirow Financial	Type of Procurement:	Financial Services
Transaction Number:	EFC-0151	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$825	End Date:	12/05/2014
Amount Expended for Life to Date:	\$37,690.75	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	353 N. Clark Street		
Address Line2:			
City:	CHICAGO		
State:	IL		
Postal Code:	60654		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>72. Vendor Name: Moody's Analytics, Inc.</p> <p>Transaction Number: 201106</p> <p>Procurement Description: Global Credit Research</p> <p>Amount Expended for Fiscal Year: \$52,431</p> <p>Amount Expended for Life to Date: \$191,930</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 7 World Trade Center</p> <p>Address Line2: 250 Greenwich Ave</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10007</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Software</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 08/01/2011</p> <p>Begin Date: 08/01/2011</p> <p>Renewal Date:</p> <p>End Date: 07/31/2015</p> <p>Amount: \$0</p> <p>Fair Market value: \$43,800</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

<p>73. Vendor Name: Moody's Investor Services</p> <p>Transaction Number: EFC-0022</p> <p>Procurement Description: Bond Rating Services</p> <p>Amount Expended for Fiscal Year: \$111,000</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: PO Box 102597</p> <p>Address Line2:</p> <p>City: ATLANTA</p> <p>State: GA</p> <p>Postal Code: 30368</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>74. Vendor Name: Morgan Keegan & Company Inc</p> <p>Transaction Number: EFC-0138</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$22,629.97</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 31</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 535 Madison Ave</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10022</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/06/2011</p> <p>Begin Date: 12/06/2011</p> <p>Renewal Date:</p> <p>End Date: 12/05/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p>
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Procurement Transactions Listing:

75. Vendor Name:	Morgan Stanley & Company Inc	Type of Procurement:	Financial Services
Transaction Number:	EFC-0125	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$22,851.5	End Date:	12/05/2014
Amount Expended for Life to Date:	\$1,110,927.1	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	1585 Broadway		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10036		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

76. Vendor Name:	Morgan Stanley & Company Inc	Type of Procurement:	Financial Services
Transaction Number:	EFC-0164	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	01/15/2015
		Begin Date:	01/15/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	01/14/2018
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	32		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	1585 Broadway		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10036		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

77. Vendor Name:	Nixon Peabody	Type of Procurement:	Legal Services
Transaction Number:	C-201103	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2014
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	437 Madison Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>78. Vendor Name: Nixon Peabody</p> <p>Transaction Number: C-201404</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$0</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 437 Madison Ave</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10022</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2014</p> <p>Begin Date: 09/12/2014</p> <p>Renewal Date:</p> <p>End Date: 09/11/2016</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 11</p>
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Procurement Transactions Listing:

79. Vendor Name:	Orrick Herrington & Sutcliffe LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201407	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2014
		Begin Date:	09/12/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2016
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	11
If Yes, basis for exemption:			
Address Line1:	51 West 52nd Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10019		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

80. Vendor Name:	Orrick Herrington & Sutcliffe LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201106	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2014
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	51 West 52nd Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10019		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

81. Vendor Name:	PFM Asset Management LLC	Type of Procurement:	Financial Services
Transaction Number:	C-201121	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Arbitrage Rebate Services	Award Date:	01/01/2012
		Begin Date:	01/01/2012
		Renewal Date:	
Amount Expended for Fiscal Year:	\$51,250	End Date:	12/31/2016
Amount Expended for Life to Date:	\$195,000	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	5		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	1
If Yes, basis for exemption:			
Address Line1:	One Keystone Plaza, Ste 300		
Address Line2:			
City:	HARRISBURG		
State:	PA		
Postal Code:	17101		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

82. Vendor Name:	Prager Sealy & Company	Type of Procurement:	Financial Services
Transaction Number:	EFC-0152	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	12/05/2014
Amount Expended for Life to Date:	\$24,523	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	were MWBE firms solicited as part of this procurement proces	Yes
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	14
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	60 E. 42nd Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10165		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

83. Vendor Name:	Public Financial Management, Inc.	Type of Procurement:	Financial Services
Transaction Number:	C-201302	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Financial Advisory Services	Award Date:	01/01/2013
		Begin Date:	01/01/2013
		Renewal Date:	
Amount Expended for Fiscal Year:	\$216,992.5	End Date:	12/31/2015
Amount Expended for Life to Date:	\$632,495.69	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	2		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	530 Oak Court Drive		
Address Line2:			
City:	MEMPHIS		
State:	TN		
Postal Code:	38117		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>84. Vendor Name: RBC Capital</p> <p>Transaction Number: EFC-0139</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$8,282.5</p> <p>Amount Expended for Life to Date: \$78,152.13</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 31</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One Liberty Plaza - 165 Broadway</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10006</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/06/2011</p> <p>Begin Date: 12/06/2011</p> <p>Renewal Date:</p> <p>End Date: 12/05/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p>
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Procurement Transactions Listing:

<p>85. Vendor Name: RR Donnelley</p> <p>Transaction Number: C-201305</p> <p>Procurement Description: Financial Printer</p> <p>Amount Expended for Fiscal Year: \$6,776.8</p> <p>Amount Expended for Life to Date: \$6,776.8</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 1</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 55 Water Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10041</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 03/12/2013</p> <p>Begin Date: 03/12/2013</p> <p>Renewal Date:</p> <p>End Date: 03/11/2014</p> <p>Amount: \$0</p> <p>Fair Market value: \$50,000</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

86. Vendor Name:	Raymond James	Type of Procurement:	Financial Services
Transaction Number:	EFC-0158	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$19,848.44	End Date:	12/05/2014
Amount Expended for Life to Date:	\$34,397.44	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	One Penn Plaza		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10119		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>87. Vendor Name: Rice Financial Products Company</p> <p>Transaction Number: EFC-0163</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$0</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 32</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 17 State Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10004</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 01/15/2015</p> <p>Begin Date: 01/15/2015</p> <p>Renewal Date:</p> <p>End Date: 01/14/2018</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p>
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Procurement Transactions Listing:

88. Vendor Name:	Rice Financial Products Company	Type of Procurement:	Financial Services
Transaction Number:	EFC-0145	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$6,770	End Date:	12/05/2014
Amount Expended for Life to Date:	\$448,881.24	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	17 State Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10004		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

89. Vendor Name:	Rockfleet Financial Services Inc.	Type of Procurement:	Financial Services
Transaction Number:	EFC-0157	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	12/05/2014
Amount Expended for Life to Date:	\$2,178	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	515 Madison Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

90. Vendor Name:	Roosevelt & Cross	Type of Procurement:	Financial Services
Transaction Number:	EFC-0140	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$5,937.5	End Date:	12/05/2014
Amount Expended for Life to Date:	\$30,729.5	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	One Exchange Place		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10006		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

91. Vendor Name:	SS&C Technologies, Inc.	Type of Procurement:	Technology - Software
Transaction Number:	C-201114	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Bond Structuring Software	Award Date:	09/16/2011
		Begin Date:	09/16/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$30,980	End Date:	09/15/2016
Amount Expended for Life to Date:	\$115,347	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	1		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	477 Madison Ave, 3rd Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>92. Vendor Name: Samuel A. Ramirez & Co LLC</p> <p>Transaction Number: EFC-0165</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$0</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 32</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 61 Broadway</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10006</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 01/15/2015</p> <p>Begin Date: 01/15/2015</p> <p>Renewal Date:</p> <p>End Date: 01/14/2018</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p>
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Procurement Transactions Listing:

93. Vendor Name:	Samuel A. Ramirez & Co LLC	Type of Procurement:	Financial Services
Transaction Number:	EFC-0126	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$9,114.25	End Date:	12/05/2014
Amount Expended for Life to Date:	\$519,171.16	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	61 Broadway		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10006		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>94. Vendor Name: Sanghi Consulting Inc.</p> <p>Transaction Number: C-201420</p> <p>Procurement Description: IT Project Management</p> <p>Amount Expended for Fiscal Year: \$76,582.8</p> <p>Amount Expended for Life to Date: \$76,582.8</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$123,417.2</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 0</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 1475 Western Ave</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12203</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Consulting/Development or Su</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 08/01/2014</p> <p>Begin Date: 08/01/2014</p> <p>Renewal Date:</p> <p>End Date: 07/31/2015</p> <p>Amount: \$200,000</p> <p>Fair Market value: \$200,000</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 1</p>
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Procurement Transactions Listing:

95. Vendor Name:	Schiff Hardin LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201414	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2014
		Begin Date:	09/12/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2016
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	11
If Yes, basis for exemption:			
Address Line1:	666 Fifth Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10103		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

96. Vendor Name:	Schroder Joseph & Associates LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201417	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2014
		Begin Date:	09/12/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2016
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	11
If Yes, basis for exemption:			
Address Line1:	766 Ellicot Street		
Address Line2:			
City:	BUFFALO		
State:	NY		
Postal Code:	14203		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

97. Vendor Name:	Schroder Joseph & Associates LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201110	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2014
Amount Expended for Life to Date:	\$32,214.69	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	766 Ellicot Street		
Address Line2:			
City:	BUFFALO		
State:	NY		
Postal Code:	14203		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>98. Vendor Name: Siebert Brandford Shank & Co LLC</p> <p>Transaction Number: EFC-0171</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$0</p> <p>Amount Expended for Life to Date: \$0</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 32</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 100 Wall Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10005</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 01/15/2015</p> <p>Begin Date: 01/15/2015</p> <p>Renewal Date:</p> <p>End Date: 01/14/2018</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p>
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Procurement Transactions Listing:

99. Vendor Name:	Siebert Brandford Shank & Co LLC	Type of Procurement:	Financial Services
Transaction Number:	EFC-0128	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$9,815.44	End Date:	12/05/2014
Amount Expended for Life to Date:	\$117,132.44	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	100 Wall Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10005		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

100. Vendor Name:	Squire Patton Boggs LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201408	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2014
		Begin Date:	09/12/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$462,202.66	End Date:	09/11/2016
Amount Expended for Life to Date:	\$462,202.66	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	11
If Yes, basis for exemption:			
Address Line1:	30 Rockefeller Plaza		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10112		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

101. Vendor Name:	Squire Sanders & Dempsey	Type of Procurement:	Legal Services
Transaction Number:	C-201111	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$27,397.35	End Date:	09/11/2014
Amount Expended for Life to Date:	\$174,260.1	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	were MWBE firms solicited as part of this procurement proces	Yes
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	7
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	30 Rockefeller Plaza		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10112		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

102. Vendor Name:	Standard & Poor's	Type of Procurement:	Financial Services
Transaction Number:	EFC-0025	Award Process:	Non Contract Procurement/Purchase Order
Procurement Description:	Bond Rating Services	Award Date:	
		Begin Date:	
		Renewal Date:	
Amount Expended for Fiscal Year:	\$59,700	End Date:	
Amount Expended for Life to Date:		Amount:	
Does the contract have an end Date:		Fair Market value:	
Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	55 Water Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10014		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

103. Vendor Name:	Standard & Poor's Bloomberg Access	Type of Procurement:	Technology - Software
Transaction Number:	EFC-0094	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Bloomberg Access	Award Date:	10/31/2011
		Begin Date:	10/31/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$6,060	End Date:	10/30/2015
Amount Expended for Life to Date:	\$20,560	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	\$18,000
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	55 Water Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10014		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

104. Vendor Name:	Standard & Poor's Securites Evaluations	Type of Procurement:	Technology - Software
Transaction Number:	EFC-0093	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Securities Evaluations	Award Date:	08/31/2011
		Begin Date:	08/31/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$11,602.5	End Date:	08/30/2015
Amount Expended for Life to Date:	\$32,170.75	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	\$18,000
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	55 Water Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10014		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

105. Vendor Name:	Standard & Poor's/Ratings Direct	Type of Procurement:	Technology - Software
Transaction Number:	EFC-0103	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Ratings & Research	Award Date:	08/31/2011
		Begin Date:	08/31/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$18,000	End Date:	08/30/2015
Amount Expended for Life to Date:	\$52,600	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	\$35,000
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	55 Water Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10014		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

106. Vendor Name:	Standard & Poor's/Ratings Express	Type of Procurement:	Technology - Software
Transaction Number:	EFC-0100	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Ratings & Research	Award Date:	08/31/2011
		Begin Date:	08/31/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$15,750	End Date:	08/30/2015
Amount Expended for Life to Date:	\$57,150	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	\$40,000
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	55 Water Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10014		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

107. Vendor Name:	Stifel Nicolaus	Type of Procurement:	Financial Services
Transaction Number:	EFC-0154	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$3,738.44	End Date:	12/05/2014
Amount Expended for Life to Date:	\$37,396.44	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	237 Park Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10017		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

108. Vendor Name:	Summit Financial Printing	Type of Procurement:	Other Professional Services
Transaction Number:	C-201401	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Financial Printer	Award Date:	03/10/2014
		Begin Date:	03/10/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$5,145.47	End Date:	03/10/2017
Amount Expended for Life to Date:	\$5,145.47	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	1		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	216 East 45th Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10017		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

109. Vendor Name:	The Remi Group	Type of Procurement:	Other
Transaction Number:	PS65207	Award Process:	Purchased Under State Contract
Procurement Description:	Equipment Insurance	Award Date:	
		Begin Date:	
		Renewal Date:	
Amount Expended for Fiscal Year:	\$6,264.8	End Date:	
Amount Expended for Life to Date:		Amount:	
Does the contract have an end Date:		Fair Market value:	
Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	11325 No. Community House Rd		
Address Line2:			
City:	CHARLOTTE		
State:	NC		
Postal Code:	28277		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

110. Vendor Name:	The Williams Capital Group, LP	Type of Procurement:	Financial Services
Transaction Number:	EFC-0141	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$1,488.44	End Date:	12/05/2014
Amount Expended for Life to Date:	\$30,068.57	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	650 Fifth Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10019		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

111. Vendor Name:	Thomson Reuters Global Markets Inc.	Type of Procurement:	Financial Services
Transaction Number:	EFC-0095	Award Process:	Non Contract Procurement/Purchase Order
Procurement Description:	Online Research	Award Date:	
		Begin Date:	
		Renewal Date:	
Amount Expended for Fiscal Year:	\$6,990	End Date:	
Amount Expended for Life to Date:		Amount:	
Does the contract have an end Date:		Fair Market value:	
Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	22 Thomson Place		
Address Line2:			
City:	BOSTON		
State:	MA		
Postal Code:	02210		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

112. Vendor Name:	Trespasz & Marquardt LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201117	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2014
Amount Expended for Life to Date:	\$19,120	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	were MWBE firms solicited as part of this procurement proces	Yes
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	7
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	251 West Fayette Street		
Address Line2:			
City:	SYRACUSE		
State:	NY		
Postal Code:	13202		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

113. Vendor Name:	Wage Works	Type of Procurement:	Other Professional Services
Transaction Number:	EFC-0159	Award Process:	Purchased Under State Contract
Procurement Description:	NYS Flex Spending Account	Award Date:	
		Begin Date:	
		Renewal Date:	
Amount Expended for Fiscal Year:	\$1,424.85	End Date:	
Amount Expended for Life to Date:		Amount:	
Does the contract have an end Date:		Fair Market value:	
Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	3101 Sessions Road		
Address Line2:			
City:	TALLAHASSEE		
State:	FL		
Postal Code:	32303		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

114. Vendor Name:	Wells Fargo Bank, NA	Type of Procurement:	Financial Services
Transaction Number:	EFC-0170	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	01/15/2015
		Begin Date:	01/15/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	01/14/2018
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	32		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	375 Park Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10152		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

115. Vendor Name:	Wells Fargo Bank, NA	Type of Procurement:	Financial Services
Transaction Number:	EFC-0155	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/06/2011
		Begin Date:	12/06/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$24,772.19	End Date:	12/05/2014
Amount Expended for Life to Date:	\$136,688.19	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	were MWBE firms solicited as part of this procurement proces	Yes
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	14
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	375 Park Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10152		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>116. Vendor Name: West (Thomson Reuters)</p> <p>Transaction Number: EFC-0143</p> <p>Procurement Description: Law Access</p> <p>Amount Expended for Fiscal Year: \$8,039.32</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 22 Thomson Place</p> <p>Address Line2:</p> <p>City: BOSTON</p> <p>State: MA</p> <p>Postal Code: 02210</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

117. Vendor Name:	Winston Strawn	Type of Procurement:	Legal Services
Transaction Number:	C-201107	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2014
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	200 Park Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10166		
Plus 4:			
Province Region:			
Country:	USA		

Additional Comments: