

Procurement Report for Nassau County Tobacco Settlement Corporation

Run Date: 08/08/2018

Fiscal Year Ending: 12/31/2017

Status: CERTIFIED

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	https://www.nassaucountyny.gov/542/Tobacco-Settlement-Corporation
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	No	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

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1. Vendor Name:	AVZ	2. Vendor Name:	Arthur Gallagher Management Services, INC
Type of Procurement:	Financial Services	Type of Procurement:	Other
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	01/01/2014	Award Date:	09/05/2017
End Date:	12/31/2017	End Date:	
Amount:	\$26,875	Amount:	\$9,090
Amount Expended for Fiscal Year:	\$26,875	Amount Expended for Fiscal Year:	\$9,090
Fair market value:		Fair market value:	
Explain why the fair market value is less than the amount:		Explain why the fair market value is less than the amount:	
Address Line1:	25 Suffolk Court	Address Line1:	377 Oak Street
Address Line2:		Address Line2:	
City:	HAUPPAUGE	City:	GARDEN CITY
State:	NY	State:	NY
Postal Code:	11788	Postal Code:	11530
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Preparation of financial statements, management's discussion and analysis, assisting Public Authorities Reporting Information System	Procurement Description:	Insurance Premium

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Procurement Transactions Listing:**3. Vendor Name:** Deutsche Bank

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/01/2006
End Date:
Amount: \$7,500
Amount Expended for Fiscal Year: \$7,500
Fair market value:

Explain why the fair market
value is less than the amount:

Address Line1: P. O. Box 1757
Address Line2: Church Street Stattion
City: NEW YORK
State: NY
Postal Code: 10008
Plus 4:
Province Region:
Country: USA

Procurement Description: Trust Administration fee

4. Vendor Name: Orrick BLX

Type of Procurement: Legal Services
Award Process: Authority Contract - Competitive Bid
Award Date: 04/04/2003
End Date:
Amount: \$25,000
Amount Expended for Fiscal Year: \$25,000
Fair market value:

Explain why the fair market
value is less than the amount:

Address Line1: 51 WEST 52ND STREET
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA

Procurement Description: Annual distribution disclosure and reporting service. Nassau County conducted a competitive procurement process that the Corporation utilized.

Procurement Report for Nassau County Tobacco Settlement Corporation**Run Date: 08/08/2018****Fiscal Year Ending: 12/31/2017****Status: CERTIFIED****Procurement Transactions Listing:****5. Vendor Name:** RSM US, LLP

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 12/06/2016
End Date:
Amount: \$28,500
Amount Expended for Fiscal Year: \$28,500
Fair market value:

Explain why the fair market
value is less than the amount:

Address Line1: 1185 Avenue of the Americas
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10036
Plus 4:
Province Region:
Country: USA

Procurement Description: 2016 Auditing fee Nassau County
conducted a competitive procurement
process that the Corporation utilized.

6. Vendor Name: S&P Global Ratings

Type of Procurement: Financial Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$10,000
Fair market value:

Explain why the fair market
value is less than the amount:

Address Line1: 55 Water Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10041
Plus 4:
Province Region:
Country: USA

Procurement Description: Rating Surveillance

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Procurement Transactions Listing:Additional Comments:

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With respect to each of the questions regarding procurement, the Corporation follows the procurement policy of Nassau County, which the board has adopted as the procurement policy of the Corporation. The Corporation is not subject to N.Y. State Finance Law Section 139-j (Restrictions on contacts during the procurement process) or N.Y. State Public Authorities Law §2879 (Procurement contracts).