

Procurement Report for New York City Municipal Water Finance Authority

Run Date: 01/11/2018

Fiscal Year Ending: 06/30/2017

Status: CERTIFIED

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www1.nyc.gov/site/nyw/policies/procurement.page">http://www1.nyc.gov/site/nyw/policies/procurement.page</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

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**Procurement Transactions Listing:**

1. Vendor Name: AECOM USA inc.

2. Vendor Name: Academy Securities Inc.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 07/01/2014  
End Date: 06/30/2019  
Amount: \$111,689.02  
Amount Expended for Fiscal Year: \$111,689.02  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 605 3rd Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10158  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Consulting Engineer

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$18,667.87  
Amount Expended for Fiscal Year: \$18,667.87  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 277 Park Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10172  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

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**Procurement Transactions Listing:**

3. Vendor Name: Amawalk Consulting Group LLC

4. Vendor Name: BLX Group LLC

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 10/01/2016  
 End Date: 09/30/2021  
 Amount: \$135,885.2  
 Amount Expended for Fiscal Year: \$135,885.2  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 26 Broadway  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10004  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Rate Consultant

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 07/01/2015  
 End Date: 06/30/2020  
 Amount: \$56,750  
 Amount Expended for Fiscal Year: \$56,750  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 51 W 52nd St  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10019  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Arbitrage Rebate

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Procurement Transactions Listing:

5. Vendor Name: Bank of America N.A.

6. Vendor Name: Bank of Montreal

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 10/24/2007  
End Date: 03/17/2020  
Amount: \$3,495,908.22  
Amount Expended for Fiscal Year: \$3,495,908.22  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: PO Box 660576  
Address Line2:  
City: DALLAS  
State: TX  
Postal Code: 75266  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/27/2013  
End Date: 08/11/2020  
Amount: \$795,535.94  
Amount Expended for Fiscal Year: \$795,535.94  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 360 Madison Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

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**Procurement Transactions Listing:**

7. Vendor Name:	Bank of New York Mellon	8. Vendor Name:	Bank of Nova Scotia
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	02/02/2008	Award Date:	11/30/2006
End Date:		End Date:	10/27/2017
Amount:	\$356,156	Amount:	\$67,823.37
Amount Expended for Fiscal	\$356,156	Amount Expended for Fiscal	\$67,823.37
Year:		Year:	
Fair market value:		Fair market value:	
Explain why the fair market		Explain why the fair market	
value is less than the amount:		value is less than the amount:	
Address Line1:	1 Wall St	Address Line1:	One Liberty Plaza
Address Line2:		Address Line2:	
City:	NEW YORK	City:	NEW YORK
State:	NY	State:	NY
Postal Code:	10005	Postal Code:	10008
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Trustee	Procurement Description:	Liquidity Provider

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**Procurement Transactions Listing:**

9. Vendor Name: Bank of Tokyo-Mitsubishi UFJ

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 10/04/2012  
 End Date: 09/04/2018  
 Amount: \$1,533,959.1  
 Amount Expended for Fiscal Year: \$1,533,959.1  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 1251 6th Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10020  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

10. Vendor Name: Barclays Bank PLC

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 12/16/2009  
 End Date: 12/14/2018  
 Amount: \$767,679.04  
 Amount Expended for Fiscal Year: \$767,679.04  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 745 7th Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10022  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

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Procurement Transactions Listing:

11. Vendor Name: Barclays Capital Inc.

12. Vendor Name:

Blaylock Beal Van LLC

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$1,912,478.89  
Amount Expended for Fiscal Year: \$1,912,478.89  
Fair market value:

Explain why the fair market  
value is less than the amount:

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$30,546.67  
Amount Expended for Fiscal Year: \$30,546.67  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 745 7th Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Address Line1: 600 Lexington Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

**Procurement Transactions Listing:****13. Vendor Name:** Cabrera Capital Markets

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$9,211.72  
Amount Expended for Fiscal Year: \$9,211.72  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 650 5th Ave  
Address Line2: #21  
City: NEW YORK  
State: NY  
Postal Code: 10019  
Plus 4:  
Province Region:  
Country: USA  
  
Procurement Description: Underwriters

**14. Vendor Name:** Citibank N.A.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 10/29/2015  
End Date: 10/26/2018  
Amount: \$466,521.63  
Amount Expended for Fiscal Year: \$466,521.63  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 390 Greenwich St.  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10013  
Plus 4:  
Province Region:  
Country: USA  
  
Procurement Description: Liquidity Provider



**Procurement Transactions Listing:****15. Vendor Name:** Citigroup Global Markets

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$340,441.03  
Amount Expended for Fiscal Year: \$340,441.03  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 390 Greenwich St.  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10013  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

**16. Vendor Name:** Creative Source Inc.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/23/2013  
End Date: 02/24/2017  
Amount: \$8,200  
Amount Expended for Fiscal Year: \$8,200  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 130 W 25th St  
Address Line2: 5C  
City: NEW YORK  
State: NY  
Postal Code: 10001  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: CAFR Designer

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**Procurement Transactions Listing:**

17. Vendor Name: Dexia Credit Locale

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 10/27/2005  
End Date: 10/27/2017  
Amount: \$296,565.1  
Amount Expended for Fiscal Year: \$296,565.1  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 445 Park Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

18. Vendor Name: Donnelly Financial LLC

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 01/13/2013  
End Date:  
Amount: \$109,651.39  
Amount Expended for Fiscal Year: \$109,651.39  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 255 Greenwich St  
Address Line2: 3rd floor  
City: NEW YORK  
State: NY  
Postal Code: 10007  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Printer for OS

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**Procurement Transactions Listing:****19. Vendor Name:** Drexel Hamilton LLC**20. Vendor Name:** Fidelity Capital Markets

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 07/01/2015  
End Date: 06/30/2018  
Amount: \$40,000  
Amount Expended for Fiscal Year: \$40,000  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 77 Water St  
Address Line2: Suite 201  
City: NEW YORK  
State: NY  
Postal Code: 10005  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Financial Advisor

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$39,728.17  
Amount Expended for Fiscal Year: \$39,728.17  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 401 E 60th St  
Address Line2: #36B  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Underwriters

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**Procurement Transactions Listing:****21. Vendor Name:** Goldman Sachs & Co.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$219,682.44  
Amount Expended for Fiscal Year: \$219,682.44  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 85 Broad Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10004  
Plus 4:  
Province Region:  
Country: USA  
  
Procurement Description: Underwriters

**22. Vendor Name:** Iron Mountain Inc.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 04/12/2011  
End Date:  
Amount: \$222  
Amount Expended for Fiscal Year: \$222  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 317 Madison Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
  
Procurement Description: Storage Service

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**Procurement Transactions Listing:****23. Vendor Name:** JPMorgan Chase Bank N.A.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/17/2013  
End Date: 11/14/2018  
Amount: \$3,066,135.89  
Amount Expended for Fiscal Year: \$3,066,135.89  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 270 Park Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

**24. Vendor Name:** JPMorgan Securities Inc.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$2,899,564.81  
Amount Expended for Fiscal Year: \$2,899,564.81  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 270 Park Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

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Procurement Transactions Listing:

25. Vendor Name: Jefferies LLC

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$152,045.02  
Amount Expended for Fiscal Year: \$152,045.02  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 520 Madison Avenue  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

26. Vendor Name: Lamont Financial Services Corporation

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 07/09/2015  
End Date: 06/30/2018  
Amount: \$129,620.25  
Amount Expended for Fiscal Year: \$129,620.25  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 30 2 Bridges Rd  
Address Line2:  
City: FAIRFIELD  
State: NJ  
Postal Code: 07004  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Financial Advisor

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**Procurement Transactions Listing:**
**27. Vendor Name:** Landesbank Hessen-Thuringen (Helaba)

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 06/15/2016  
 End Date: 06/15/2021  
 Amount: \$1,258,121.93  
 Amount Expended for Fiscal Year: \$1,258,121.93  
 Fair market value:

Explain why the fair market  
 value is less than the amount:

Address Line1: 420 5th Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10018  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

**28. Vendor Name:** Lebenthal and Co. LLC

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 05/05/2016  
 End Date:  
 Amount: \$32,302.5  
 Amount Expended for Fiscal Year: \$32,302.5  
 Fair market value:

Explain why the fair market  
 value is less than the amount:

Address Line1: 521 Fifth Avenue  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10175  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

**Procurement Transactions Listing:**

29. Vendor Name: Loop Capital Markets LLC

30. Vendor Name: Marks Paneth LLP

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 05/05/2016  
 End Date:  
 Amount: \$101,409.9  
 Amount Expended for Fiscal Year: \$101,409.9  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 07/01/2016  
 End Date: 06/30/2018  
 Amount: \$100,000  
 Amount Expended for Fiscal Year: \$100,000  
 Fair market value:  
 Explain why the fair market value is less than the amount:

Address Line1: 88 Pine Street  
 Address Line2: 24th fl  
 City: NEW YORK  
 State: NY  
 Postal Code: 10005  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

Address Line1: 685 3rd Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10017  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Auditors



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**Procurement Transactions Listing:**

31. Vendor Name: McGladrey LLP/RSM US LLP

32. Vendor Name:

Merrill Lynch PF&amp;S Inc.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/01/2015  
End Date: 04/30/2018  
Amount: \$2,478.25  
Amount Expended for Fiscal Year: \$2,478.25  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 1185 6th Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10036  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Computer Consultant

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$621,448.81  
Amount Expended for Fiscal Year: \$621,448.81  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 4 World Financial Ctr  
Address Line2: north  
City: NEW YORK  
State: NY  
Postal Code: 10080  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

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**Procurement Transactions Listing:****33. Vendor Name:** Mesirow Financial Inc.**34. Vendor Name:** Mischler Financial Group Inc.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$38,492.16  
Amount Expended for Fiscal Year: \$38,492.16  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 353 North Clark St.  
Address Line2:  
City: CHICAGO  
State: IL  
Postal Code: 60654  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$6,182.54  
Amount Expended for Fiscal Year: \$6,182.54  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 299 Park Avenue  
Address Line2: 6th floor  
City: NEW YORK  
State: NY  
Postal Code: 10171  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

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**Procurement Transactions Listing:****35. Vendor Name:** Mizuho Coporate Bank Ltd.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 09/17/2013  
End Date: 06/14/2019  
Amount: \$3,582,054.07  
Amount Expended for Fiscal Year: \$3,582,054.07  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 1251 6th Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10012  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

**36. Vendor Name:** Mizuho Securities USA Inc.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$120,406.27  
Amount Expended for Fiscal Year: \$120,406.27  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 1251 6th Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10012  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

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Procurement Transactions Listing:

37. Vendor Name: Mohanty Gargiulo LLC

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 07/01/2015  
End Date: 06/30/2018  
Amount: \$4,762.5  
Amount Expended for Fiscal Year: \$4,762.5  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 100 Park Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Bond Counsel

38. Vendor Name: Morgan Stanley &amp; Co.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$281,748.4  
Amount Expended for Fiscal Year: \$281,748.4  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 1221 Avenue of the Americas  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10020  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

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Procurement Transactions Listing:

39. Vendor Name:	National Captioning Institute end date	40. Vendor Name:	Nixon Peabody LLP
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	09/12/2012	Award Date:	01/01/2013
End Date:	08/31/2017	End Date:	12/31/2016
Amount:	\$1,023.75	Amount:	\$200,000
Amount Expended for Fiscal Year:	\$1,023.75	Amount Expended for Fiscal Year:	\$200,000
Fair market value:		Fair market value:	
Explain why the fair market value is less than the amount:		Explain why the fair market value is less than the amount:	
Address Line1:	3725 Concorde Parkway	Address Line1:	437 Madison Ave
Address Line2:	Suite 100	Address Line2:	
City:	CHANTILLY	City:	NEW YORK
State:	VA	State:	NY
Postal Code:	20151	Postal Code:	10022
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Webcasting	Procurement Description:	Bond Counsel Disclosure

**Procurement Transactions Listing:****41. Vendor Name:** Orrick Herrington & Sutcliffe LLP

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 01/01/2013  
End Date: 09/15/2017  
Amount: \$750,000  
Amount Expended for Fiscal Year: \$750,000  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 51 W 52nd St.  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10019  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Bond Counsel Disclosure

**42. Vendor Name:** PFM Asset Management LLC

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$190,035.71  
Amount Expended for Fiscal Year: \$190,035.71  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 60 Broad St.  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10004  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Financial Advisor

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Status: CERTIFIED

Procurement Transactions Listing:

43. Vendor Name: PNC Bank NA

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 10/04/2012  
End Date: 10/02/2018  
Amount: \$866,861.27  
Amount Expended for Fiscal Year: \$866,861.27  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 340 Madison Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

44. Vendor Name: PNC Capital Markets LLC

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$119,753  
Amount Expended for Fiscal Year: \$119,753  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 340 Madison Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

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Status: CERTIFIED

**Procurement Transactions Listing:****45. Vendor Name:** PNC Capital Markets LLC/remarketing?

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$2,833.05  
Amount Expended for Fiscal Year: \$2,833.05  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 340 Madison Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

**46. Vendor Name:** Piper Jaffrey & Co.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$99,944.29  
Amount Expended for Fiscal Year: \$99,944.29  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 345 Park Ave  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10154  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters



**Procurement Transactions Listing:**

47. Vendor Name: RBC Capital Markets

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$484,523.66  
Amount Expended for Fiscal Year: \$484,523.66  
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza 165 Broadway  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10006  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

48. Vendor Name: Ramirez & Co.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$138,718.46  
Amount Expended for Fiscal Year: \$138,718.46  
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 61 Broadway  
Address Line2: New York  
City: NEW YORK  
State: NY  
Postal Code: 10006  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

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**Procurement Transactions Listing:****49. Vendor Name:** Raymond James & Associates Inc.**50. Vendor Name:**

Rice Financial Products Company

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$955,866.69  
Amount Expended for Fiscal Year: \$955,866.69  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 250 Park Avenue  
Address Line2: 2nd fl  
City: NEW YORK  
State: NY  
Postal Code: 10177  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$52,484.53  
Amount Expended for Fiscal Year: \$52,484.53  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 17 State Street  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10004  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

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**Procurement Transactions Listing:**

51. Vendor Name: Roosevelt and Cross Incorporated

52. Vendor Name:

Royal Bank of Canada

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$7,436.54  
Amount Expended for Fiscal Year: \$7,436.54  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: One Exchange Plaza  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10006  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 03/01/2011  
End Date: 03/04/2019  
Amount: \$2,648,635.49  
Amount Expended for Fiscal Year: \$2,648,635.49  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 12 East 49th Stree  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

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**Procurement Transactions Listing:**

53. Vendor Name:	Siebert Brandford Shank & Co. LLC	54. Vendor Name:	State Street Bank & Trust Company N.A.
Type of Procurement:	Other Professional Services	Type of Procurement:	Other Professional Services
Award Process:	Authority Contract - Competitive Bid	Award Process:	Authority Contract - Competitive Bid
Award Date:	05/05/2016	Award Date:	03/27/2012
End Date:		End Date:	10/07/2020
Amount:	\$881,265.16	Amount:	\$2,292,042.29
Amount Expended for Fiscal Year:	\$881,265.16	Amount Expended for Fiscal Year:	\$2,292,042.29
Fair market value:		Fair market value:	
Explain why the fair market value is less than the amount:		Explain why the fair market value is less than the amount:	
Address Line1:	100 Wall Street	Address Line1:	225 Franklin Street
Address Line2:		Address Line2:	
City:	NEW YORK	City:	BOSTON
State:	NY	State:	MA
Postal Code:	10005	Postal Code:	02110
Plus 4:		Plus 4:	
Province Region:		Province Region:	
Country:	USA	Country:	USA
Procurement Description:	Underwriters	Procurement Description:	Liquidity Provider

**Procurement Transactions Listing:**

55. Vendor Name:		Stifel Nicolaus & Co. Inc.	56. Vendor Name:		Sumitomo Mitsui Banking Corp.
Type of Procurement:	Other Professional Services		Type of Procurement:	Other Professional Services	
Award Process:	Authority Contract - Competitive Bid		Award Process:	Authority Contract - Competitive Bid	
Award Date:	05/05/2016		Award Date:	06/07/2012	
End Date:			End Date:	06/04/2020	
Amount:	\$35,191.79		Amount:	\$2,623,924.28	
Amount Expended for Fiscal Year:	\$35,191.79		Amount Expended for Fiscal Year:	\$2,623,924.28	
Fair market value:			Fair market value:		
Explain why the fair market value is less than the amount:			Explain why the fair market value is less than the amount:		
Address Line1:	237 Park Ave		Address Line1:	277 Park Avenue	
Address Line2:			Address Line2:		
City:	NEW YORK		City:	NEW YORK	
State:	NY		State:	NY	
Postal Code:	10017		Postal Code:	10172	
Plus 4:			Plus 4:		
Province Region:			Province Region:		
Country:	USA		Country:	USA	
Procurement Description:	Underwriters		Procurement Description:	Liquidity Provider	

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**Procurement Transactions Listing:**

57. Vendor Name: TD Bank NA

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 11/18/2010  
 End Date: 09/17/2018  
 Amount: \$1,166,928.96  
 Amount Expended for Fiscal Year: \$1,166,928.96  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 31 W 52nd St  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10019  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

58. Vendor Name: TD Securities -

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 05/05/2016  
 End Date:  
 Amount: \$138,388.33  
 Amount Expended for Fiscal Year: \$138,388.33  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 31 W 52nd St  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10019  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

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**Procurement Transactions Listing:**

59. Vendor Name: US Bank

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 05/05/2016  
 End Date:  
 Amount: \$2,302,207.49  
 Amount Expended for Fiscal Year: \$2,302,207.49  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 461 5th Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10017  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Liquidity Provider

60. Vendor Name: US Bank

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Competitive Bid  
 Award Date: 05/05/2016  
 End Date:  
 Amount: \$890,465.42  
 Amount Expended for Fiscal Year: \$890,465.42  
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 461 5th Ave  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10017  
 Plus 4:  
 Province Region:  
 Country: USA  
 Procurement Description: Underwriters

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**Procurement Transactions Listing:****61. Vendor Name:** Wells Fargo Bank NA (correct EIN)

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 06/25/2009  
End Date: 07/10/2018  
Amount: \$766,338.31  
Amount Expended for Fiscal Year: \$766,338.31  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 463 Broadway  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10013  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Liquidity Provider

**62. Vendor Name:** Wells Fargo Bank NA (correct EIN)

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 05/05/2016  
End Date:  
Amount: \$573,863.89  
Amount Expended for Fiscal Year: \$573,863.89  
Fair market value:

Explain why the fair market  
value is less than the amount:

Address Line1: 463 Broadway  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10013  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Underwriters



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Procurement Transactions Listing:

Additional Comments: