

Procurement Report for Nassau County Tobacco Settlement Corporation

Fiscal Year Ending: 12/31/2019

Run Date: 07/13/2020

Status: CERTIFIED

Certified Date : 03/30/2020

Procurement Information:

Question		Response	URL (If Applicable)
1.	Does the Authority have procurement guidelines?	Yes	https://www.nassaucountyny.gov/542/Tobacco-Settlement-Corporation
2.	Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	No	
3.	Does the Authority allow for exceptions to the procurement guidelines?	No	
4.	Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5.	Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6.	Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents, or specifications for procurement contracts?	No	
7.	Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8.	Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a.	If Yes, was a record made of this impermissible contact?		
9.	Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

Procurement Report for Nassau County Tobacco Settlement Corporation

Fiscal Year Ending: 12/31/2019

Run Date: 07/13/2020

Status: CERTIFIED

Certified Date : 03/30/2020

Procurement Transactions Listing:

1. Vendor Name	AVZ	Address Line1	25 Suffolk Court
Type of Procurement	Financial Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	HAUPPAUGE
Award Date	1/1/2019	State	NY
End Date	12/31/2020	Postal Code	11788
Fair Market Value		Plus 4	
Amount	\$18,103.00	Province/Region	
Amount Expended For Fiscal Year	\$18,103.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Preparation of financial statements, management's discussion and analysis, assisting Public Authorities Reporting Information System

2. Vendor Name	Arthur Gallagher Management Services, INC	Address Line1	377 Oak Street
Type of Procurement	Other	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	GARDEN CITY
Award Date	9/5/2019	State	NY
End Date		Postal Code	11530
Fair Market Value		Plus 4	
Amount	\$26,172.00	Province/Region	
Amount Expended For Fiscal Year	\$26,172.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Insurance Premium

Procurement Report for Nassau County Tobacco Settlement Corporation

Fiscal Year Ending: 12/31/2019

Run Date: 07/13/2020

Status: CERTIFIED

Certified Date : 03/30/2020

3. Vendor Name	Deutsche Bank	Address Line1	P. O. Box 1757
Type of Procurement	Financial Services	Address Line2	Church Street Station
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	6/1/2006	State	NY
End Date		Postal Code	10008
Fair Market Value		Plus 4	
Amount	\$7,500.00	Province/Region	
Amount Expended For Fiscal Year	\$7,500.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Trust Administration fee

4. Vendor Name	Orrick BLX	Address Line1	51 WEST 52ND STREET
Type of Procurement	Legal Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	4/4/2003	State	NY
End Date		Postal Code	10019
Fair Market Value		Plus 4	
Amount	\$25,000.00	Province/Region	
Amount Expended For Fiscal Year	\$25,000.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Annual distribution disclosure and reporting service. Nassau County conducted a competitive procurement process that the Corporation utilized.

Procurement Report for Nassau County Tobacco Settlement Corporation

Fiscal Year Ending: 12/31/2019

Run Date: 07/13/2020

Status: CERTIFIED

Certified Date : 03/30/2020

5. Vendor Name	RSM US, LLP	Address Line1	1185 Avenue of the Americas
Type of Procurement	Financial Services	Address Line2	
Award Process	Authority Contract - Competitive Bid	City	NEW YORK
Award Date	12/6/2019	State	NY
End Date		Postal Code	10036
Fair Market Value		Plus 4	
Amount	\$28,560.00	Province/Region	
Amount Expended For Fiscal Year	\$28,560.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	2018 Auditing fee Nassau County conducted a competitive procurement process that the Corporation utilized.

6. Vendor Name	S&P Global Ratings	Address Line1	55 Water Street
Type of Procurement	Financial Services	Address Line2	
Award Process	Non Contract Procurement/Purchase Order	City	NEW YORK
Award Date		State	NY
End Date		Postal Code	10041
Fair Market Value		Plus 4	
Amount		Province/Region	
Amount Expended For Fiscal Year	\$10,000.00	Country	United States
Explain why the Fair Market Value is Less than the Amount		Procurement Description	Rating Surveillance

Procurement Report for Nassau County Tobacco Settlement Corporation

Fiscal Year Ending: 12/31/2019

Run Date: 07/13/2020

Status: CERTIFIED

Certified Date : 03/30/2020

Additional Comments

Procurement-Information (page 1 of 5)

With respect to each of the questions regarding procurement, the Corporation follows the procurement policy of Nassau County, which the board has adopted as the procurement policy of the Corporation. The Corporation is not subject to N.Y State Finance Law Section 139-j ("Restrictions on contracts during the procurement process") or N.Y. State Public Authorities Law Section 2879 ("Procurement contracts").