

Procurement Report for Dutchess County Resource Recovery Agency

Fiscal Year Ending: 12/31/2020

Run Date: 03/30/2021

Status: CERTIFIED

Certified Date : 03/30/2021

Procurement Information:

| Question | | Response | URL (If Applicable) |
|----------|---|----------|--|
| 1. | Does the Authority have procurement guidelines? | Yes | www.dccrra.org |
| 2. | Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board? | Yes | |
| 3. | Does the Authority allow for exceptions to the procurement guidelines? | Yes | |
| 4. | Does the Authority assign credit cards to employees for travel and/or business purchases? | No | |
| 5. | Does the Authority require prospective bidders to sign a non-collusion agreement? | Yes | |
| 6. | Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents, or specifications for procurement contracts? | Yes | |
| 7. | Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"? | Yes | |
| 8. | Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law? | No | |
| 8a. | If Yes, was a record made of this impermissible contact? | | |
| 9. | Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law? | Yes | |

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Procurement Transactions Listing:

| | | | |
|--|--------------------------------------|--------------------------------|--------------------------|
| 1. Vendor Name | D&B Engineers & Architects | Address Line1 | 330 Crossways Park Drive |
| Type of Procurement | Other Professional Services | Address Line2 | |
| Award Process | Authority Contract - Competitive Bid | City | WOODBURY |
| Award Date | 8/1/2018 | State | NY |
| End Date | 12/31/2021 | Postal Code | 11797 |
| Fair Market Value | | Plus 4 | |
| Amount | \$50,000.00 | Province/Region | |
| Amount Expended For Fiscal Year | \$33,810.94 | Country | United States |
| Explain why the Fair Market Value is Less than the Amount | | Procurement Description | Engineering services. |

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|--|--------------------------------------|--------------------------------|---------------------|
| 2. Vendor Name | John B Ingenio CPA | Address Line1 | 240 Farmers Tpke |
| Type of Procurement | Consulting Services | Address Line2 | |
| Award Process | Authority Contract - Competitive Bid | City | GARDINER |
| Award Date | 1/18/2018 | State | NY |
| End Date | 4/16/2021 | Postal Code | 12525 |
| Fair Market Value | | Plus 4 | |
| Amount | \$17,400.00 | Province/Region | |
| Amount Expended For Fiscal Year | \$17,400.00 | Country | United States |
| Explain why the Fair Market Value is Less than the Amount | | Procurement Description | Accounting Services |

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|--|--------------------------------------|--------------------------------|-------------------|
| 3. Vendor Name | MACKEY BUTTS & WISE LLP | Address Line1 | 3208 FRANKLIN AVE |
| Type of Procurement | Legal Services | Address Line2 | |
| Award Process | Authority Contract - Competitive Bid | City | MILLBROOK |
| Award Date | 1/1/2017 | State | NY |
| End Date | 12/31/2021 | Postal Code | 12545 |
| Fair Market Value | | Plus 4 | |
| Amount | \$32,000.00 | Province/Region | |
| Amount Expended For Fiscal Year | \$1,447.00 | Country | United States |
| Explain why the Fair Market Value is Less than the Amount | | Procurement Description | LEGAL SERVICES |

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|--|--------------------------------|--------------------------------|---------------------|
| 4. Vendor Name | NYS Retirement | Address Line1 | 110 State Street |
| Type of Procurement | Other | Address Line2 | |
| Award Process | Purchased Under State Contract | City | ALBANY |
| Award Date | | State | NY |
| End Date | | Postal Code | 12244 |
| Fair Market Value | | Plus 4 | |
| Amount | | Province/Region | |
| Amount Expended For Fiscal Year | \$16,500.00 | Country | United States |
| Explain why the Fair Market Value is Less than the Amount | | Procurement Description | retirement benefits |

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| 5. Vendor Name | RBT CPA LLP | Address Line1 | 2678 South road |
| Type of Procurement | Other Professional Services | Address Line2 | |
| Award Process | Authority Contract - Competitive Bid | City | POUGHKEEPSIE |
| Award Date | 11/1/2017 | State | NY |
| End Date | 3/31/2021 | Postal Code | 12601 |
| Fair Market Value | | Plus 4 | |
| Amount | \$46,200.00 | Province/Region | |
| Amount Expended For Fiscal Year | \$42,700.00 | Country | United States |
| Explain why the Fair Market Value is Less than the Amount | | Procurement Description | auditing services |

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|--|--------------------------------------|--------------------------------|-------------------|
| 6. Vendor Name | Royal Carting | Address Line1 | Po Box 33 |
| Type of Procurement | Other | Address Line2 | |
| Award Process | Authority Contract - Competitive Bid | City | HOPEWELL JUNCTION |
| Award Date | 7/1/2018 | State | NY |
| End Date | 12/31/2021 | Postal Code | 12533 |
| Fair Market Value | | Plus 4 | |
| Amount | \$3,605,448.42 | Province/Region | |
| Amount Expended For Fiscal Year | \$3,605,448.42 | Country | United States |
| Explain why the Fair Market Value is Less than the Amount | | Procurement Description | Ash Hauling |

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| 7. Vendor Name | Ulster Insurance | Address Line1 | PO Box 3995 |
| Type of Procurement | Other Professional Services | Address Line2 | |
| Award Process | Non Contract Procurement/Purchase Order | City | KINGSTON |
| Award Date | | State | NY |
| End Date | | Postal Code | 12402 |
| Fair Market Value | | Plus 4 | |
| Amount | | Province/Region | |
| Amount Expended For Fiscal Year | \$26,120.00 | Country | United States |
| Explain why the Fair Market Value is Less than the Amount | | Procurement Description | Director's & Officer's Insurance |

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|--|---|--------------------------------|-------------------|
| 8. Vendor Name | Valtec Industries | Address Line1 | 5 Wintergreen Ave |
| Type of Procurement | Other | Address Line2 | |
| Award Process | Non Contract Procurement/Purchase Order | City | NEWBURGH |
| Award Date | | State | NY |
| End Date | | Postal Code | 12550 |
| Fair Market Value | | Plus 4 | |
| Amount | | Province/Region | |
| Amount Expended For Fiscal Year | \$15,028.00 | Country | United States |
| Explain why the Fair Market Value is Less than the Amount | | Procurement Description | computer support |

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| 9. Vendor Name | Wheelabrator Dutchess County | Address Line1 | 94 Sand Dock Rd |
| Type of Procurement | Other | Address Line2 | |
| Award Process | Authority Contract - Competitive Bid | City | POUGHKEEPSIE |
| Award Date | 7/1/2014 | State | NY |
| End Date | 12/31/2028 | Postal Code | 12601 |
| Fair Market Value | | Plus 4 | |
| Amount | \$10,048,000.00 | Province/Region | |
| Amount Expended For Fiscal Year | \$9,138,889.00 | Country | United States |
| Explain why the Fair Market Value is Less than the Amount | | Procurement Description | Plant Contractor |

Additional Comments